



REGIONAL QUESTIONNAIRE ON AID FOR TRADE

This questionnaire is intended to solicit information about the role that trade plays in your regional and sectoral development strategies, your region's aid-for-trade needs and the response of the donor community to these needs. It focuses in particular on the outputs and outcomes of aid for trade. For further details or additional forms please visit www.oecd.org/dac/aft/questionnaire or contact the secretariats of the OECD or the WTO at [aft.monitoring@oecd.org] or [aft.monitoring@wto.org]. Finally we would ask that you complete this form electronically to ease the interpretation and analysis process.

ORGANISATION: CARICOM Secretariat MEMBERSHIP:

1. IS TRADE MAINSTREAMED IN YOUR REGIONAL DEVELOPMENT STRATEGY?

Q1.1 Do you have a regional development plan or strategy?	YES 🖂	NO 🗌
<i>If yes, does this regional plan or strategy include trade as an instrument for economic development?</i>	growth and	
The regional development plan or strategy includes trade as a priority area and specifoperational objectives to be achieved	fic trade	\boxtimes
The regional development plan or strategy includes general statements on the role of trade in economic growth and development		
The regional development plan makes reference to trade, but not as an economic growth and development instrument		
The regional development plan makes no reference to trade		
Other		
Please provide additional details (and webpage links to relevant documentation): Please see Extended Remarks		

Q1.2 Do you have specific regional sectoral development plans or strategies (e.g. infrastructure, agriculture, industrial development, services, etc.)?	NO 🗌
If yes, do the regional sectoral development plans or strategies include trade as an instrument for e growth and development?	conomic?
Regional sectoral development plans include trade as a priority area and specific operational trade objectives to be achieved in specific sectors	
Regional sectoral development plans include general statements on the role of trade in economic growth and development	
Regional sectoral development plans make reference to trade, but not as an economic growth and development instrument	
Regional sectoral development plans make no reference to trade	

Please provide additional	details (and webpage links to	o relevant documentation):		
Q1.3 Do you have a tra	de policy or strategy?		YES	🛛 NO 🗌
If yes, is the main focus of	the trade policy or strategy	on intra-regional trade or ex	tra-region	al trade?
INTRA-REGIONAL	EXTRA-REGIONAL	вотн 🖂	NC	DT SURE
lf yes , please explain furth	er by ticking the appropriate	e boxes (more than one box r	nay be ticl	ked).
The agreement establishing the regional economic community provides for establishment of a regional trade organization (e.g. a regional free trade area or a customs union)				
There is a separate agreement covering regional trading arrangements (e.g. free trade area or customs union), which includes specific implementation mechanisms				
The regional trade agreement is supplemented by separate agreements which cover implementation arrangements				
Other trade agreements h	ave been signed with third p	parties or are under negotiat	on.	\boxtimes
Please provide additional details, including details on the trade being formulated (e.g. its focus, orientation and main priorities):				

Please see Extended Remarks

Q1.4 Please outline the main constraints to intra-regional trade: (more than one box may be ticked)				
	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT
Low regional demand		\boxtimes		
Competitiveness	\square			
Regulatory environment for doing business		\boxtimes		
Limited export diversification	\square			
Inadequate transport links		\boxtimes		
Cost of transport services		\boxtimes		
Limited access to trade finance		\boxtimes		
Standards compliance		\boxtimes		
Customs and border procedures			\boxtimes	
Informal restrictions			\boxtimes	
Other				
Please specify:				
Please elaborate further on your answer (e.g. by providing webpage links to relevant documentation):				

Please see Extended Remarks

Q1.5 Please outline the main constraints to extra-regional trade: (more than one box may be ticked)				
MOST IMPORTANT IMPORTANT LESS NOT IMPORTANT IMPORTANT				
Competitiveness				
Limited export diversification	\boxtimes			

Inadequate transport links	\boxtimes			
Cost of export		\boxtimes		
Access to trade finance		\boxtimes		
Standards compliance	\boxtimes			
Customs and border procedures		\boxtimes		
Informal restrictions			\boxtimes	
Other				
Please specify:				

Please elaborate further on your answer (e.g. by providing webpage links to relevant documentation): Please see Extended Remarks

Q1.6 Does the region have priority areas of intervention which it has identified would improve the region's capacity to benefit from trade expansion and integration?

(Below are listed the most common areas grouped according to broad aid category – please rank the top 3 priority areas among the 12 listed.)

What are your current aid-for-trade priorities in each sector? Using the following lists, please rank the top 3 priority areas in each sector (1 highest):)

SECTOR	SUB-SECTOR	RANK
Trade policy and regulations (3)	Trade policy and administrative management	
	Trade facilitation	2
	Regional trade agreements	
	Multilateral trade negotiations	3
	Trade education/training	
	Trade-related adjustment	1
Economic infrastructure (1)	Transport and storage	1
	Communications	3
	Energy supply and generations	2
Building productive capacity (2)	Business and other services	2
	Banking and financial services	
	Agriculture	3
	Forestry	
	Fishing	
	Industry	1
	Mineral resources and mining	
	Tourism	
Other trade related needs		
Please specify:		I

Q1.7 Does the region have operational strategies (with action plans, timelines and budgets) for its priority areas of intervention?				
Priority 1:	Economic Infrastructu	ire		
	YES	BEING FORMULATED	NO 🗌	
Please provid	de further details:			
Priority 2:	Building Protective Ca	pacity		
	YES	BEING FORMULATED	NO 🗌	
Please provid	Please provide further details:			
Priority 3:				
	YES	BEING FORMULATED	NO 🗌	
Please provid	de further details: Trad	e Policy and Regulation		

Q1.8 Do you have a comprehensive operational strategy which regroups all your region's trade-related needs (e.g. an aid-for-trade strategy)?	YES	NO 🛛
If yes, does this strategy provide a costing of the support needed? (Please attach a cop the questionnaire reply or provide a web link to his document):	by of this doc	ument to
If no, please explain how aid-for-trade needs are identified and expressed in donor dia Please see Extended Remarks	logues:	

Q1.9 Has the current global economic crisis had an impact on your aid-for-trade needs?	YES 🖂	NO 🗌
<i>If yes, please provide additional details:</i> Please see Extended Remarks		

2. HOW ARE YOUR REGIONAL STRATEGIES DEVELOPED? HOW ARE REGIONAL STRATEGIES CO-ORDINATED WITH NATIONAL POLICIES AND IMPLEMENTED BY YOUR MEMBERS?

Q2.1 How are your members involved in the elaboration of your regional and/ development strategies? (More than one box may be ticked.)	or regional sectoral
The Secretariat convenes ad hoc meetings of national experts on specific topics	
The Secretariat convenes meetings of national experts on the basis of decisions taken at summits of heads of state	
There is a standing committee responsible for trade policy	\square
National experts meet in standing committees on policy areas as laid out in the agreement establishing the organization	
Each policy area is served by standing committees of national experts serviced by the Secretariat	\boxtimes
In the absence of a secretariat, regional development plans are agreed through meetings of members convened by the member holding the presidency	
Please provide additional details:	

Q2.2 Do you engage in dialogue with the private sector in the formulation of regional strategies?	YES 🔀	NO 🗌
If yes, please specify if dialogue is with: (More than one box may be ticked.)		
Regional private sector committee	[\boxtimes
National sectoral associations		\bowtie
National private sector committee	[\bowtie
Individual companies	[

Please provide additional details (e.g. on level of interaction, frequency of meetings, members of committees, etc.): Please see Extended Remarks

Q2.3 What difficulties do you face in elaborating and co-ordinating regional strategies? (More than one box may be ticked.)

	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT
Lack of clear mandate			\boxtimes	
National funding constraints		\boxtimes		
Secretariat funding constraints		\boxtimes		
Lack of detailed expertise in the region		\boxtimes		
High turnover of national officials			\boxtimes	
Poor articulation with national strategies			\boxtimes	
Membership of overlapping regional agreements			\boxtimes	
Other				
Please specify:				
<i>Please provide further details:</i> Please see Extended Remarks				

Q2.4 What difficulties do you face in implementing regional development or regional sectoral strategies?

(More than one box may be ticked.)

	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT
Lack of clear mandate			\boxtimes	
Difficulties in establishing regional funds		\boxtimes		
Difficulty in using loan financing		\boxtimes		
Poor articulation with national strategies		\boxtimes		
Membership of overlapping regional agreements				\boxtimes
Lack of political commitment at national level		\boxtimes		
Other				
Please specify:				

Please provide further details: Please see Extended Remarks					
Q2.5 What difficulties do you face in implementing regional trade strategies ? (More than one box may be ticked.)					
	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT	
Lack of clear mandate		\boxtimes			
Dependence of national governments on tariff revenue	\boxtimes				
Membership of overlapping regional agreements				\boxtimes	
Lack of national implementation of regional agreements	\boxtimes				
Informal restrictions			\boxtimes		
Other					
Please specify:					
Please provide further details: Please see Extended	d Remarks				

3. WHAT AID-FOR-TRADE SUPPORT DO YOU RECEIVE AND HOW DO DONORS PROGRAMME THEIR ASSISTANCE?

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	2002-2005	2006	2007	2008	2009	2010
Trade policy and regulation						
Economic infrastructure						
Trade related adjustment						
Building productive capacity						
Other						

Please provide additional details: The majority of Aid for Trade channeled through the Secretariat is disbursed multi-annually. Consequently the CARICOM Secretariat is unsble to give an accurate account of Aid for Trade disbursements on annual basis. However, a table with Aid for Trade disbursements for the period 2006-2010 is included in the extended remarks accompanying this Questionnaire.

Q3.2 How many donors currently provide aid-for-trade assistance?										
1-5 🗋 5-10 🖾 10-15 🗍 15+ 🗍										
Please provide more details: Canadian International Development Agency, UK Department for International Development, European Union, Inter-American Development Bank, Kingdom of Spain, Germany - GTZ.										
Q3.3 Is there a forum for dialogue with donors on aid-for-trade priorities?			YES 🔀	NO 🗌						

¹ The Aid-for-Trade Statistical Queries page offers access to aid-for-trade statistics (through the online interface called the <u>Query Wizard for International</u> <u>Development Statistics</u>, or QWIDS). Users can extract and download the aid-for-trade statistics from 2002 onwards (i.e. volume, origin, and aid categories for over 150 developing countries and territories, including project-level information). The last year for which information exists is 2008.

If yes, please provide additional details:

Q3.4 Describe the basis on which donors programme their aid-for-trade support? (More than one box may be ticked.)				
	ALL	MOST	SOME	NONE
Multi-annual		\boxtimes		
Annual			\boxtimes	
Thematic			\square	
Ad hoc			\boxtimes	
As part of their broader development assistance:			\boxtimes	
Separately, as specific aid-for-trade assistance:				

Q3.5 How do donors establish the priorities of their aid-for-trade support? (More than one box may be ticked.)

	ALL	MOST	SOME	NONE
Regional development strategy				\boxtimes
Sectoral plans				\boxtimes
Trade strategy			\boxtimes	
Aid-for-Trade Strategy				\boxtimes
Ad hoc requests		\boxtimes		
Donor's own needs assessment		\boxtimes		

Q3.6 What constraints do you face in securing funds for aid for trade? (More than one box may be ticked.)

	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT
Not all donors have regional programmes		\boxtimes		
Existing donors are reluctant to establish separate aid-for-trade mechanisms			\boxtimes	
Constraints faced by donors in offering support to regional organizations			\boxtimes	
Difficulty in utilizing loan financing			\boxtimes	
Lack of appropriate oversight mechanisms			\boxtimes	
Lack of counterpart national oversight mechanisms			\boxtimes	
Poor needs identification and prioritization			\boxtimes	
Difficulties faced in developing bankable projects			\boxtimes	
Regional priorities are not reflected in national requests for funding		\boxtimes		
Conflicting demand from overlapping regional organizations				\boxtimes

Other					
Please specify:					
Q3.7 What impact has the global economic crisis had on the aid-for-trade support your receive from donors? (More than one box may be ticked.)					
	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT	
Fewer partners offering support			\boxtimes		
Change in donor priorities		\boxtimes			
Greater focus on showing results	\boxtimes				
Fewer resources available		\boxtimes			
Focus on short term adjustment costs				\boxtimes	
Greater role of south-south partners			\boxtimes		
Other					
Please specify:					

Q3.8 How could the programming of aid-for-trade support be improved?

	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT
Better definition of aid-for-trade needs	\boxtimes			
Better prioritization of aid-for-trade needs	\boxtimes			
Better drafting of bankable projects	\boxtimes			
Clear and simple application procedures		\boxtimes		
Multi-annual programming		\boxtimes		
Use of regional funds	\boxtimes			
More use of joint needs assessment		\boxtimes		
Sector-wide approaches		\boxtimes		
Other	\square			
Please specify: Aid for Trade Strategy is required				

4. HOW DO YOU MONITOR AND EVALUATE ASSISTANCE RECEIVED?

Q4.1 Who is responsible for overseeing and coordinating aid-for-trade assistance received ?					
A regional committee composed of representatives of national governments is responsible for coordination and implementation					
The Secretariat department responsible for trade					
The Secretariat department responsible for trade has a co-ordinating role, it is the relevant sectoral departments which have responsibility					
There is a Secretariat central unit responsible for co-ordination					

Other						\boxtimes	
Please specify: Regional Consultative committee tried to act as the overseer and coordinator of Aid for Trade Assistance since December 2010. The Resource Mobilisation and Technical assistance Unit and the CARIFORUM directorate of the CARICOM Secretariat monitor the Agreements for implementation of the projects for which resources are provided. Very little evaluation is conducted.							
Q4.2 Do you monitor and evaluate your donor supported trade-related programmes?							
ALWAYS 🖂	NEARLY ALWAYS	REGULARLY		RARELY	NOT	NOT SURE	
If you monitor and evaluate, do you use:							
		REGULARLY	SOMETIMES	RARELY	NEVER	NOT SURE	
Donor monitoring and evaluations results		\square					
Joint monitoring and evaluation arrangements			\boxtimes				
Own monitoring and evaluation arrangements			\boxtimes				
Reporting by member states through national systems							

Q4.3 In what ways could the implementation and effectiveness of aid for trade received be improved? Please rank in order of importance (1 highest)

	RANKING
Greater say in the design of interventions	2
Better predictability of funding	3
More regular joint donor implementation approaches	7
More frequent coordination efforts with donors	6
More systematic use of monitoring and evaluation systems	8
Stronger focus on capacity development	1
Greater capacity within the Secretariat	4
More harmonized reporting requirements	9
Greater coordination between member states	5
Other	
Please describe:	

Q4.4 Please identify 3 areas of intervention where aid for trade has been effective in addressing supply-side and trade-related infrastructure constraints within your region?

Area 1: Integrated Development Programme for the Caribbean Rice Sector

Area 2: Support for the Competitiveness of the Rice Sector in the Caribbean

Area 3: EU funded Sugar Accompanying Measures and Banana Accompanying Measures

Q4.5 If your organization has received direct assistance from donors, please provide further details, in particular with regard to the impact and outcome of the assistance provided? (You may wish to answer this question by submitting a case story or stories.)

Please see Case Stories

Q4.6 Are their particular examples of aid-for-trade processes, programmes or projects which have obtained good results that you think would contribute to the development of good practice?

(If so, please describe them below. You may also wish to consider submitting a case story or stories on this example or these examples.)

Please see Case Stories