



JOINT DATA COLLECTION ON NON-MONETARY HEALTH CARE STATISTICS

JOINT QUESTIONNAIRE 2023

GUIDELINES FOR COMPLETING THE OECD/EUROSTAT/WHO-EUROPE QUESTIONNAIRE 2023

(OECD NON-EU COUNTRIES)

QUESTIONNAIRE SENT: FRIDAY, DECEMBER 16, 2022

DEADLINE FOR RETURN: TUESDAY, FEBRUARY 28, 2023

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1. Introduction

This document provides **Guidelines for Completing the OECD/Eurostat/WHO-Europe Joint Questionnaire on Non-Monetary Health Care Statistics (JQNMHC)**. The overall objective of this joint questionnaire is to provide internationally comparable data on key aspects of health care systems as they relate to health care resources and activities.

The current guidelines for completing the Joint Questionnaire are intended for OECD non-EU countries and OECD non-EU accession countries. There is no major change in the 2023 questionnaire for these countries.

From 2023, a number of variables become mandatory for EU member states, EU candidate and potential candidate countries, and EFTA countries, which are involved in the [Commission Regulation \(EU\) 2022/2294](#) of 23 November 2022 implementing Regulation (EC) No 1338/2008 of the European Parliament and of the Council as regards statistics on healthcare facilities, healthcare human resources and healthcare utilisation. These European countries must refer to the Eurostat "[Healthcare non-expenditure statistics manual](#)" for guidelines to complete the Joint Questionnaire.

Content of the joint questionnaire

The joint questionnaire consists of four parts:

- **Health employment and education** (e.g. number of physicians, nurses, graduates, etc.);
- **Health workforce migration** (e.g. stock and flow of foreign-trained physicians and nurses);
- **Physical and technical resources** (e.g. number of beds, medical equipment, etc.);
- **Health care activities** (e.g. number of consultations, hospital discharges, surgical procedures, etc.).

Each part is associated to:

- One **Excel file with numerical data** (in Excel 97-2003 format);
- One **Word file with the documentation of *Definitions, Sources and Methods***.

Countries in the **WHO European Region** (e.g. EU Member States, EU candidate or potential candidate countries, EFTA countries, the United Kingdom and Israel) are also asked to provide Hospital Discharge Data (HDD) in a separate **text file** (.CSV).

Deadline for returning the questionnaire

There is no major change in the data collection process for OECD non-EU countries. The completed data (Excel) and metadata (Word) files should continue to be submitted to the OECD by email (with WHO-Europe on copy for Israel and the UK).

The deadline for returning the questionnaire is **TUESDAY, FEBRUARY 28, 2023**.

Contacts

The following emails should be used to contact the international organisations involved in the joint data collection on non-monetary health care statistics:

- **OECD:** JQNMHC@oecd.org
- **EUROSTAT:** ESTAT-JQNMHCS@ec.europa.eu
- **WHO-Regional Office for Europe:** euJDC@who.int

Please copy all organisation(s) whose your country is member. [Annex 1](#) provides the list of all countries involved in this joint data collection as well as the organisations to which they should respond.

2. Structure of the Joint Questionnaire on Non-Monetary Health Care Statistics

The joint questionnaire on non-monetary health care statistics is divided into four main parts: 1) Health employment and education; 2) Health workforce migration; 3) Physical and technical resources; and 4) Health care activities. The complete list of variables commonly agreed by the three organisations is available in [Annex 2](#).

Data request

The OECD, Eurostat and WHO-Europe use the same Excel templates to collect the data for the common variables. The following files are requested from all countries:

- **CCC_HealthEmployment_Year.xls**: Excel workbook requesting *time series* related to **Health employment and education**
- **CCC_WorkforceMigration_Year.xls**: Excel workbook requesting *time series* related to **Health workforce migration**
- **CCC_PhysicalResources_Year.xls**: Excel workbook requesting *time series* related to **Physical and technical resources**¹
- **CCC_HealthActivities_Year.xls**: Excel workbook requesting *time series* related to **Health care activities**²

where CCC corresponds to the three-character country code (ISO 3166), and Year corresponds to the year of the data collection (i.e. currently 2023).

Countries belonging to the WHO European region (EU member states, EU candidate and potential candidate countries, EFTA countries, the United Kingdom and Israel) are also requested to provide a separate **CSV** file for **hospital discharge data** (inpatient cases, day cases and bed-days) by diagnosis, by age group and by gender:

- **HDD_CC_Year.csv**: comma-delimited ASCII file for hospital discharge data

where CC corresponds to the two-character country code (ISO 3166), and Year corresponds to the year of the data reported in the file.

Features of all Excel workbooks

All Excel workbooks contain worksheets with the following titles and functions:

- **“Country”** – the first worksheet is designed to collect information on the country respondent/national focal point and allows the respondent to provide any general or specific comment on the data collection.
- **“VariablesList”** – the second worksheet serves as a table of contents, summarising the data requested in the workbook.
- **“Definitions”** – the third worksheet provides the definitions for all variables.
- **Data worksheets** – one data worksheet is provided by main topic.

¹ In addition to the usual data requests, the “CCC_PhysicalResources_Year.xls” file contains one pilot data sheet on intensive care.

² In addition to the usual data requests, the “CCC_HealthActivities_Year.xls” file contains one pilot data sheet on teleconsultations.

There are a couple of **new features** in the 2023 questionnaire:

- The Excel files have been made SDMX compatible. Some codes have been added in hidden rows or columns in data worksheets. Therefore, the first row or first column containing data has been slightly shifted (downwards or rightwards) compared to previous versions. The first data cell is different across modules, but it is the same within each module.
- Some table headers are highlighted to indicate those variables that have become mandatory for countries reporting to Eurostat (EU member states, EU candidate and potential candidates, and EFTA countries). Variables in **yellow** are mandatory for these countries from 2023 data collection, while variables in **orange** will be mandatory from 2025 data collection.

The Excel worksheets have been **protected** so that only cells of the time series can be filled/updated. This protection has been set to prevent any accidental changes in the format of the files (i.e. changing the structure of tables, by adding or deleting rows or columns for example, is not allowed). If really necessary, the protection can be removed easily as no password has been assigned (open the file, select the worksheet to unprotect, click the “Review” tab on the ribbon, and click on “Unprotect Sheet”).

Metadata request

The collection of metadata does not change for OECD non-EU countries³. The following **Word** files should be reviewed and updated:

- **CCC_HealthEmployment_Year.doc**: Word document containing the **definitions** of all variables related to **Health employment and education** and requesting information on *Sources and Methods*
- **CCC_WorkforceMigration_Year.doc**: Word document containing the **definitions** of all variables related to **Health workforce migration** and requesting information on *Sources and Methods*
- **CCC_PhysicalResources_Year.doc**: Word document containing the **definitions** of all variables related to **Physical and technical resources** and requesting information on *Sources and Methods*
- **CCC_HealthActivities_Year.doc**: Word document containing the **definitions** of all variables related to **Health care activities** and requesting information on *Sources and Methods*
- **CCC_PilotIndicators_Year.doc**: Word document containing the **definitions** of all the **pilot** variables (about intensive care and teleconsultations) and requesting information on *Sources and Methods*

where CCC corresponds to the three-character country code (ISO 3166), and Year corresponds to the year of the data collection (i.e. currently 2023).

³ Countries reporting to Eurostat (EU member states, EU candidate and potential candidates, and EFTA countries) shall report the metadata in one single file (with no definition, but containing the *Sources and Methods* of all variables and all modules), through the ESS Metadata Handler, as explained in the Eurostat “Healthcare non-expenditure statistics manual”.

3. General Instructions for Updating Data and Metadata

Updating the general information in Excel workbooks

National focal points should complete/update the respondent information in the “Country” worksheet. They can also provide in this worksheet any **comments** regarding their data and metadata submission.

Correspondents are encouraged, but not requested, to update the ‘**Check-list**’ column in the “VariablesList” worksheet. This check-list may help them follow their progress in updating the questionnaire. It may also provide useful information for the three organisations about the availability of some variables not completed in the initial submission.

Update of data in the Excel worksheets

All tables are pre-filled with the data provided to the three organisations in previous years. National focal points are asked to **check the data currently available and update them**. Please fill/update only the cells of the time series, i.e. send back the Excel worksheets in exactly the same structure in which you receive them.

If long time series are not readily available, national correspondents are invited to report the most recent data since 2000. If, in addition, data could be supplied in five-year intervals (1980, 1985, 1990, 1995), this would enable the construction of internationally comparative tables at fixed years, spanning a wider time range.

All correspondents are asked to mark updates of data in **BOLD** or **COLOUR** in the Excel worksheets. This extra step is extremely useful for reviewing and processing country submissions more efficiently and communicating changes in the data.

Please do not write any comments into the Excel data sheets. All comments should be supplied separately (in the “Country” worksheet or by e-mail) and/or inserted into the documentation of [Sources and Methods](#) (see below). Although we are grateful for any additional supporting documentation, the data update will rely **exclusively** on the electronic files of the questionnaire received from countries.

Years included in the 2023 Joint Questionnaire

Time series in the 2023 Joint Questionnaire should cover the period at least up to **2021**, and when possible also **2022**. Timeliness of data has become particularly important since the spread of **Covid-19**, as recent data help analysing the impacts of the pandemic on health systems in 2020 and following years. Therefore, national correspondents are strongly encouraged to provide data for 2020, 2021 and 2022 where at all possible. The questionnaire includes a code “P” that can be used to report provisional estimates for 2022 if needed (see [data codification](#) below).

Data checks

The Joint Questionnaire includes a set of automatic checks that are designed to improve data quality and minimise errors. The main purpose of the data checks is to verify the internal consistency in the data reported in the questionnaire. Some checks verify that data correctly add up in one spreadsheet (e.g. for hospital beds), or that data are consistent from one sheet to another (e.g. for physicians). They calculate the difference between the data concerned; theoretically, the result should be equal to 0. Some other checks verify the data consistency/reliability (e.g. the number of practising physicians should be smaller than the number of physicians licensed to practice; percentages should not be higher than 100%; etc.).

All **data checks** are calculated in the **grey columns** labelled '**Data errors**' at the right of data tables. When there are errors in the data, they appear in **bold italic and red font** in the cells below the label 'Data errors' (see an example in the table below).

Example of a table with data checks

Diagnostic exams																Data errors					
YEARS	CT exams					MRI exams					PET exams					CT	MRI	PET			
	Total Number	Code*	Hospital Number	Code*	Ambulatory care Number	Code*	Total Number	Code*	Hospital Number	Code*	Ambulatory care Number	Code*	Total Number	Code*	Hospital Number				Code*	Ambulatory care Number	Code*
1990																		
1991																		
1992																		
1993																		
1994																		
1995	21000000		18400000		2600000		9100000		5100000		4000000							-	-	..	
1996	22600000		19700000		2900000		9800000		5600000		4200000							-	-	..	
1997	25100000		21600000		3500000		10900000		6300000		4600000							-	-	..	
1998	26300000		22600000		3700000		11900000		6900000		5000000							-	-	..	
1999	30600000		25800000		4800000		13800000		8000000		5800000							-	-	..	
2000	34900000		29000000		5900000		15800000		9100000		6700000							-	-	..	
2001	39600001		33100000		6500000		18000000		10200000		7800000							1	-	..	
2002	45400000		37900000		7500000		21900000		12400000		9500000							-	-	..	
2003	50100000		41400000		8700000		24200000		12600000		11600001							-	-	..	
2004	53900000		44300000		9600000		24700000		13100000		11600000							-	-	..	
2005	57600000		47200000		10400000		25300000		13600000		11700000							-	-	..	
2006	62000000		51000000		11000000		26600000		14600000		12000000							-	-	..	
2007	68700000		55700000		13000000		27500000		14900000		12600000							-	-	..	
2008	73100000		58400000		14700000		28400000		14200000		14210000							-	-10000	..	
2009	77500000		61100000		16400000		29400000		13600000		15780000							-	20000	..	
2010	81900000		63900000		18000000		30200000		14300000		15900000							-	-	..	
2011																		
2012																		

Legend: - : no error (data do add up). .. : missing data. -1/1: small rounding error only.

Figure/text in bold, italic and red: there is an error (here, data do not add up for MRI exams in 2008 and 2009).

In the sheets "*Physicians by categories*" and "*Physicians by age and gender*", the sum of data should theoretically add up to the total number of *practising* physicians reported in the sheet "*Physicians*" (see below [Guidelines by Topic and Variable](#)). However, in some countries it is not possible to provide the number of physicians disaggregated by categories or by age and gender according to the "practising" concept. In this case, the sum of data by categories or by age/gender should add up to the total number of professionally active physicians or to the total number of physicians licensed to practice – depending on the concept used to report physicians by categories and by age and gender. Three "check" columns are then provided to compare the sum of data from the sheets "*Physicians by categories*" and "*Physicians by age and gender*" with the three variables from the sheet "*Physicians*", but only the appropriate data check should be considered.

National correspondents are strongly encouraged to use these data checking tools and to **correct any data inconsistencies** before sending back the questionnaire, or **provide explanation in the Sources and Methods** when the data do not add up or are not consistent.

Data codification

A tool to flag important information related to the data is included in the Joint Questionnaire. Correspondents will find in the Excel questionnaire additional columns (named “Code”), following each single data column, with the possibility of including five letters if necessary (or to revise them if they already exist). These five letters (B, D, E, P, M) should be used to reflect any of the five following data issues:

- **B = break in time series;**
- **D = deviation from definition;**
- **E = estimated data;**
- **P = provisional data – to be used for Year-1 only** (i.e. for 2022 in the 2023 Joint Questionnaire);
- **M = missing data – data cannot exist** (to be used in combination with empty value).

The additional code “M” has been added in the 2023 Joint Questionnaire to flag data that are missing because they **cannot exist**. For example, countries without cancer screening programme should use the “M” flag to indicate that programme data on screening rate do not exist. The “M” letter cannot be combined with other flag and can only be used with an empty data cell.

The five codes listed above should be used accurately and only when necessary. For example, the “B” letter should be used when a change in the source or in the methodology has involved a significant break in the series. The flags intend to warn data users about important issues in the data. These issues should be briefly described in the *Sources and Methods*.

The “P” flags are not intended to stay for more than one year. They should be used **only for provisional data that are expected to be revised and replaced by final data** in the near future (i.e. during the current data collection or in the next round).

Breaks in time series

Breaks in time series occur with changes in sources or methodologies. While these changes in sources or methodologies may improve the quality of the data, they seriously limit the possibility to do any trend analysis. When adopting any new source or methodology, national correspondents are encouraged to revise the data for previous years to maintain some reasonably long time series. Some methods that can be used to overcome the breaks in time series are provided in [Annex 3](#), but correspondents are welcome to use any other appropriate methods depending on the information available in their country. The adjusted data can be highlighted with an “E” code associated with the data (as described in [Data codification](#) above).

If it is not possible to adjust the time series, any significant breaks should be highlighted with a “B” code associated with the data (with some explanation for the breaks provided in the *Sources and Methods*), or else the data before the break should be deleted.

Updating the documentation of *Sources and Methods*

National focal points are invited to document the *Sources and Methods* underlying the data for each variable based on a common structure agreed-upon by the three organisations (see below). The *Sources and Methods* have been pre-filled with information that countries have already provided to the international organisations. The electronic files to update the *Sources and Methods* are provided in DOC format, and should be **opened, updated and then returned using WORD** (or any text-processing software). Correspondents are asked to **use TRACK CHANGES MODE in Word to highlight all changes to the *Sources and Methods***.

Structure to follow for the collection of information on *Sources and Methods*

Source of data	<ol style="list-style-type: none"> 1. Indicate the data source in bold, i.e. the name of the agency and/or the complete citation of the publication. 2. Indicate the full title of the original survey collection, administrative source, database or publication. 3. Indicate if different sources were used for different years. 4. Add URL for website where more information can be found.
Reference period	Indicate the reference period (e.g. annual average, data as of December 31, etc.).
Coverage	<p>Indicate the data coverage if it is less than complete (geographical, population, institutions, etc).</p> <ul style="list-style-type: none"> - Do the data cover the entire country or only some part(s)? (Please specify if the geographic coverage is partial.) - Do the data cover both the public and private sectors? (If not, please specify the limitation in coverage.) - Are there any other limitations in the data coverage (e.g. military services, prisons, social services)?
Deviation from definition	Indicate if the data supplied do not match the proposed definition (please specify).
Estimation method	Explain if data are an estimation, interpolation or any other relevant information.
Break in time series	Indicate if there is a break in the time series, due to changing definition, source or calculation method (please specify).

4. Guidelines by Topic and Variable

The **definitions** for all variables are provided either in the Excel workbooks (see the sheet “Definitions”) or in the Word document requesting information on *Sources and Methods*.

The definitions rely as much as possible on the following existing **international classifications**:

- International Classification of Diseases (ICD), WHO (<http://www.who.int/classifications/icd/en/>);
- International Classification for Health Accounts (ICHA), *A System of Health Accounts*, OECD, WHO and Eurostat (2011) (<http://www.oecd.org/health/a-system-of-health-accounts-9789264116016-en.htm>);
- International Standard Classification of Occupations (ISCO-08), ILO (<http://www.ilo.org/public/english/bureau/stat/isco/index.htm>).

Health employment and education

Most variables related to health employment (e.g. physicians, midwives and nurses, dentists, pharmacists, etc.) are requested according to three concepts:

- “**practising**” (i.e. health care professionals directly providing services to patients);
- “**professionally active**” (i.e. the “practising” category plus other health professionals working in administration and research who are not directly providing services to patients but for whom their medical/paramedical education is a prerequisite for the execution of the job);
- “**licensed to practice**” (i.e. entitled to practice as health professionals).

National correspondents are strongly encouraged to identify suitable data sources or new estimation methods in order to fill any persisting data gaps for the “**practising**” concept. This request concerns especially countries which have only submitted data for the “licensed to practice” concept. Priority should be given to practising **physicians** and **nurses**.

Physicians by age and gender

Since 2016, the data on physicians aged “65+” have been split into two age groups: “**65-74**” and “**75+**”. This additional breakdown was designed to obtain more precise information about the growing number of physicians that continue to practice after age 65 and even in some cases after age 75. If not already done, national correspondents are invited to revise their data in order to reflect more accurately the new more specific age groups.

Data should be provided for **practising** physicians by age and gender, where possible. If the data are not available according to the “practising” category, they should be reported for professionally active physicians or physicians licensed to practise. Please clearly indicate in the *Sources and Methods* if your data refer to a different concept than “practising”.

Physicians by categories

The common data collection includes three broad categories of doctors (corresponding to the ISCO-08 codes at the 4-digit level) and eight sub-categories:

- 1) **Generalist medical practitioners (ISCO-08 code: 2211)**
 1. General practitioners
 2. Other generalist (non-specialist) medical practitioners

2) Specialist medical practitioners (ISCO-08 code: 2212)

3. General paediatricians
4. Obstetricians and gynaecologists
5. Psychiatrists
6. Medical group of specialists
7. Surgical group of specialists
8. Other specialists not elsewhere classified

3) Medical doctors not further defined (ISCO-08 code: 2210)

A split was introduced in 2012 under the broad category “General medical practitioners” to distinguish more clearly the number of “general practitioners” (“family doctors”), providing **continuous medical care** to individuals and families most often in primary care sector, from other generalists/non-specialists who may be working in hospitals.

Theoretically, the two first broad categories (“Generalist medical practitioners” and “Specialist medical practitioners”) should cover all physicians. In accordance with ISCO-08 definition, the last category “**Medical doctors not further defined**” should be used only if some doctors cannot be classified under “Generalist medical practitioners” or “Specialist medical practitioners”. Correspondents are strongly encouraged to verify that physicians have been properly allocated to the specific categories, and to make any adjustments if necessary.

The table on physicians by categories should cover the whole physician workforce, including **medical interns and residents** as well as physicians without specialisation. The sum of the three broad categories should add up to the total number of (practising) physicians. Medical interns and residents who are pursuing postgraduate training should be allocated in their area of training. If they have not chosen a specialisation yet, they should be reported in the category “Other generalist (non-specialist) medical practitioners”. Physicians in training who cannot be split according to the specialty in which they are training should be reported in the category “Medical doctors not further defined”.

Some guidelines are provided in the definitions to help classify different specialties, especially between the “**medical group**” and the “**surgical group**”. Please indicate in the *Sources and Methods* which specialties have been allocated to these two broad categories, particularly if the allocation does not correspond to the proposed guidelines.

Data for different categories of doctors should be provided for **practising** physicians, where possible. If the data are not available according to this concept, they should be reported for professionally active physicians or physicians licensed to practise. Please clearly indicate in the *Sources and Methods* if your data refer to a different concept than “practising”.

To avoid double counting doctors with more than one specialty, the following criteria are proposed to report each doctor only once:

- 1) the predominant (main) area of practice of doctors; or
- 2) the last specialty for which they have received registration.

Midwives, Nurses and Caring personnel (personal care workers)

Data are collected separately for midwives and nurses. If data are not available separately for midwives, the total number of midwives and nurses should be reported as **nurses**. This should be clearly mentioned in the *Sources and Methods*.

The main priority is to report data on the **total number of nurses**, regardless of their category or level. When relevant and possible, national correspondents are also invited to provide the breakdown between “**Professional nurses**” and “**Associate professional nurses**” in those countries where two levels of nurses exist. The ISCO-08 definitions are provided to guide this data collection. If this breakdown is provided, please note in the *Sources and Methods* which categories of nurses have been assigned to each of these two categories.

The ISCO-08 definitions are also provided to guide the data collection of “**Caring personnel (personal care workers)**”, who may also be referred as “nursing aides” or given other titles in different countries. These caregivers are not nurses, but they do provide personal care to patients in institutions or at home. Please indicate in the *Sources and Methods* the categories of workers reported under this item.

Hospital employment

The main priority is to collect data on the **total** number of people working in hospitals on a **head count** basis. Data for six categories of hospital workers are also requested. In addition to head counts, data are also collected on a **FTE basis**. Three methods to convert head counts into FTE data are proposed below, and national correspondents may choose one of them to do the conversion, depending on the availability of detailed data on actual/usual or contractual hours of work.

1) For countries which have detailed data on actual or usual working hours

Full-time equivalent (FTE) employment should be measured by the number of hours actually or usually worked divided by the average number of hours worked in full-time jobs.

For example: if the standard working hours for a full-time job in the country is 40 hours per week, and the actual or usual working hours of a doctor or a nurse in hospital is 30 hours, s(he) should be counted as 0.75 FTE. If s(he) works 50 hours, s(he) should be counted as 1.25 FTE.

2) For countries which only have detailed data on contractual working hours

A worker with a full-time employment contract should be counted as 1 FTE. Concerning workers who do not have a full-time employment contract, full-time equivalent should be measured by the number of hours of work mentioned in each contract divided by the normal number of hours worked in full-time jobs.

For example: if the standard working hours for a full-time job in the country is 40 hours per week, and if the contract of a nurse is 30 hours per week, s(he) should be counted as 0.75 FTE.

3) For countries which do not have any detailed information on working hours

A worker with a full-time employment contract should be counted as 1 FTE. Concerning workers with part-time contracts, the practice in many countries is simply to consider that 2 part-time workers = 1 FTE.

Graduates

This part of the data collection covers medical graduates, dentist graduates, pharmacist graduates, midwife graduates and nursing graduates.

Regarding **nursing graduates**, the main priority is to collect their **total number**, regardless of their category or level. When relevant and possible, national correspondents are also invited to provide the breakdown between graduates from “professional nurse” programmes and “associate professional nurse” programmes.

Health workforce migration

The main purpose of this part of the Joint Questionnaire (which was added in 2015) is to improve the monitoring of international health workforce migration through the collection of a minimum dataset that is relevant to both source and destination countries.

The main features of the data collection are that it:

- focuses on **doctors** and **nurses** only;
- focuses mainly on the **place of training** (defined as the place of first qualification);
- collects **immigration** data from destination countries by all countries of origin, based on available national sources (e.g., professional registries, specific surveys of health personnel);
- collects data based on measures of total **stock** and annual **inflows**.

The list of countries/places of training was slightly updated in the 2020 Joint Questionnaire. ISO codes are available and may be used for automated data filling.

Countries which have not submitted data yet are strongly encouraged to look at possible data sources that might be used to fill this gap and to provide as much as possible data by countries of origin. The data collection also allows a reporting of the overall number of “domestic-born but foreign-trained” doctors and nurses (people born in a country who went to study in another country but have come back afterwards to practice in their home country) separately from the “foreign-born and foreign-trained”. All national correspondents are invited to provide this useful additional information when possible.

Physical and technical resources

Hospitals

The total number of hospitals should include all types of hospitals, following the International Classification for Health Accounts 2011 (including HP1.1 General hospitals, HP1.2 Mental health hospitals, HP1.3 Other specialised hospitals). Although the priority is to collect data on the **total number of hospitals**, more specific information is also requested for general hospitals (HP1.1) and for the breakdown between public, not-for-profit and for-profit private hospitals, where possible.

In the 2023 questionnaire, the *Sources and Methods* for the five variables on hospitals have been gathered into one “block” of metadata. National correspondents should carefully review these metadata and update them if necessary.

Hospital beds by function

Since 2016, the table on hospital beds has been collecting data for total hospital beds and a breakdown of beds by function of health care: i) **curative (acute) care beds**; ii) **rehabilitative care beds**; iii) **long-term care beds**; iv) **other hospital beds**. This is consistent with the *System of Health Accounts* (SHA) classification that is used internationally to collect data on hospital expenditure.

For those countries that have difficulties in separating curative care beds from rehabilitative care beds, the proposed guideline is to report these rehabilitative care beds in the “curative care” category.

According to the definitions, **psychiatric care beds** should be distributed across the different functions, however not all countries have such detailed information. In order to increase the transparency and the comparability of the beds numbers, while maintaining the initial objective of consistency with the SHA classification, the table on hospital beds requests since 2022 (after two years of testing) a further

breakdown between **somatic care beds** and **psychiatric care beds** for total hospital beds and for each functional category.

All (or almost all) countries are able to provide total numbers of somatic care beds and psychiatric care beds (respectively columns D and F in the Excel worksheet “HospitalBedsFunction”). Most countries are also able to report numbers of somatic care beds by function (columns J, P, V, AB). Countries which are able to distinguish psychiatric care beds by function are invited to fill in the columns L, R, X and AD, as appropriate.

In order to improve the reporting and the quality of these data, national correspondents are invited to refer to the following additional guidelines:

- If **somatic and psychiatric care beds data are available by function** (for at least two functional categories), then the **subcategories** (columns J, L, P, R, V, X, AB, AD) and the **subtotals** (columns H, N, T, Z) **should be filled**.
- If somatic care beds are available by function but **psychiatric care beds cannot be broken down**, then only subcategories for somatic care beds (columns J, P, V, AB) should be reported. The **subcategories for psychiatric care beds** (columns L, R, X and AD) and the **subtotals** (columns H, N, T, Z) **should remain empty**.
- When there are **no beds** existing for a specific category, the **value “0”** should be reported. It is important to follow this recommendation, in order to distinguish real null values (“0”) from unavailable data (empty cells).

These rules are summarised in the example below.

Guidelines for reporting hospital beds pilot data, with an example

	Hospital beds			Of which (by function):												
	Total hospital beds	Of which:		Curative (acute) care beds			Rehabilitative care beds			Long-term care beds			Other hospital beds			
		Somatic care beds	Psychiatric care beds	Total	Somatic care	Psychiatric Care	Total	Somatic care	Psychiatric Care	Total	Somatic care	Psychiatric Care	Total	Somatic care	Psychiatric Care	
All or most data available	1000	850	150	800	710	90	90	75	15	100	60	40	10	5	5	<input checked="" type="checkbox"/>
	1000	850	150	800	700	100	100	100	0	100	50	50	0	0	0	<input checked="" type="checkbox"/>
	1000	850	150	800	700	100	90	90		100	50	50	10	10		<input checked="" type="checkbox"/>
	1000	850	150	800	700	100				100	50	50		10		<input checked="" type="checkbox"/>
No breakdown of psy beds	1000	850	150		700			90			50			10		<input checked="" type="checkbox"/>
	1000	850	150	850	700	150		90		50			10			<input checked="" type="checkbox"/>
	1000	850	150		700			90			50			10		<input checked="" type="checkbox"/>
	1000	850	150	700	700			90		50			160	150		<input checked="" type="checkbox"/>
	1000	850	150		700			90			50			10	150	<input checked="" type="checkbox"/>

Note: Data are hypothetical. The symbol on the right of the table shows which data are correctly reported. On the contrary, indicates data wrongly reported (also highlighted in red in the table).

Based on this example, all data are available in rows 1-2, so all cells are filled. In rows 3 and 4, psychiatric care beds data can be disaggregated between two functions (curative care and LTC) but are not available for psychiatric rehabilitative care (nor other psychiatric care beds). The total number of rehabilitative care beds and other hospital beds should still be reported (as in row 3), as otherwise data do not add up at the level of total functional categories (row 4).

In rows 5 to 9, the breakdown of psychiatric care beds by function is not available, only the total number of psychiatric care beds is known. It is incorrect to report the totals for each function, as in rows 6 and 8, as this overestimates curative care beds in row 6 or other beds in row 8 (and underestimate the other categories). It is also inconsistent to report all psychiatric care beds somewhere at the finest level but not in the totals for each function (rows 7 and 9). The best way to show these data is to report the breakdown by function for **somatic** care beds (as in row 5), and only the total number of psychiatric care beds in column F.

In 2022, the new sheet on hospital beds by function had been prefilled with the information provided in the pilot table, for the most recent years. The older years had been completed with the data collected in the former “regular” table, in order to keep long time series whenever possible. If not already done, national correspondents are invited to **verify the data reported in the new table on hospital beds by function**, and to **update the time series according to the new structure**, back to 2000 if possible.

In parallel, the *Sources and methods* had been reorganised and summarised, based on information provided by countries for the former regular and pilot data collections. There is now only one “block” of definitions, sources and methods for the whole table on hospital beds by function of health care, which national correspondents should review and update as appropriate.

Hospital beds by sector

The data collection on hospital beds by sector includes a breakdown between **public, not-for-profit and for-profit private hospitals**. Starting from 2022, the data by sector are collected separately from the data by function of care, in a different worksheet (the two worksheets are respectively “HospitalBedsSector” and “HospitalBedsFunction”). However, data should remain consistent, and the total number of hospital beds should be the same in both tables.

As for hospital beds by function, the *Sources and methods* for hospital beds by sector have also been reorganised and summarised, with a single “block” of metadata for the whole table. National correspondents are invited to review the *Sources and Methods* and to note there any limitation in hospital coverage.

Intensive care unit (ICU) beds and use – PILOT data collection

The pilot data collection on intensive care resources and use, which was introduced in 2021, is renewed for the second time. Although this inclusion has been driven by the Covid-19 crisis, this data collection is not restricted to Covid-19 beds and patients, and it should cover **all ICUs** (for any patients needing intensive care).

These data are collected based on **definitions proposed by the World Federation of Societies of Intensive and Critical Care Medicine**. What sets intensive care apart from other clinical care in hospitals is the reference to the critical condition of patients requiring a higher level of care in terms of staffing ratios, equipment, and severity of case. The World Federation of Societies of Intensive and Critical Care Medicine proposes a **classification of ICUs** into three levels (primary, secondary and tertiary) based on a number of criteria, such as the staffing to patient ratio, or type of respiratory support equipment. Detailed information for defining ICUs is available in the Word file containing the definitions, sources and methods for pilot indicators, as well as in the Excel file for physical and technical resources.

The data collection on ICU beds covers: **total ICU beds**, of which **total adult ICU beds**, **total neonatal ICU beds** and **total paediatric ICU beds**; and **critical care adult beds** (a subset of total adult ICU beds). The average number of available beds over the year is preferably sought for these five variables. If the average number is not available, the number at a fixed date (the same date for every year, if possible) can be provided. In addition, the maximum number of ICU and critical care beds available for adults is also collected.

In addition to numbers of ICU beds, data on their utilisation are also requested in the same worksheet. National correspondents are invited to provide the **total adult ICU occupancy rate** (average occupancy rate and maximum daily occupancy rate) as well as the number of **days with ICU occupancy rate over 80% and over 95%** during the year. In 2022, following several suggestions received from countries, a new variable on **total adult ICU bed-days** was also added to the questionnaire. These variables focus on adults but if the breakdown between adults and children is not available, total (adult + neonatal + paediatric) bed-days/occupancy would be accepted (with a “D” flag and a note in the *Sources and Methods*).

Beds in residential long-term care facilities

The data collection on beds in residential long-term care facilities should include all types of nursing and residential care facilities as defined in the HP.2.1 and HP.2.9 categories of the International Classification for Health Accounts 2011.

Medical technology

The data collection on medical technology includes six types of diagnostic and therapeutic equipment (the data requests for angiography units and lithotriptors were discontinued in 2018 because of more limited data availability for these two technologies). The aim is to collect data on the total number of equipment in all health care facilities, including hospitals and providers of ambulatory health care. If the data in your country are only available for hospitals or ambulatory care providers, these data should be reported in the corresponding column.

Health care activities

Consultations

The aim is to collect the number of consultations with doctors and dentists *in all settings*, including in outpatient departments in hospitals. The data may either come from administrative sources or surveys. It is requested as a **rate per capita**.

These variables are supposed to cover **in-person consultations** only and to exclude teleconsultations. In order to make it clearer, the name of the variables has been changed in the 2023 Joint Questionnaire:

- Doctor consultations (in person)
- Dentist consultations (in person)

Teleconsultations – PILOT data collection

In the context of the Covid-19 crisis, there has been a significant increase in the use of telemedicine. The current definition for doctor consultations explicitly excludes telephone and email contacts, and by inference also any web-based contacts. This new pilot data collection, introduced in 2021 and renewed for the second time, aims at filling this gap by seeking the number per capita of **teleconsultations with a doctor**, as well as the **total number of consultations with a doctor (including teleconsultations)**.

The broad concept of telemedicine is the use of ICTs to deliver health care at a distance. Key elements of telemedicine are the use of ICTs, the delivery of clinical services, and the delivery at a distance. Three categories of telemedicine can be considered:

- *Telemonitoring* is the use of mobile devices and platforms to conduct routine medical tests, communicate the results to health care workers in real-time, and potentially launch pre-programmed automated responses.
- *Store and forward* is similar but is used for clinical data that are less time-sensitive and for which a delay between transmission and response is acceptable (e.g. store and forward is widely used in dermatology).
- Finally, *interactive telemedicine* involves direct and synchronous communication between providers and patients (e.g. direct-to-patient or in health care facilities).

Teleconsultations refers to this third category of *interactive telemedicine*. However, a teleconsultation may also include aspects of the first two categories. This can involve public or private providers, and is regardless of the specific technology used (e.g. PC, tablet, fixed or mobile phone, etc.).

Immunisation against influenza

The objective is to collect the percentage of elderly people who have been vaccinated **against seasonal influenza** during the last twelve months.

Screening

The data collection on **breast cancer (mammography) screening** and **cervical cancer screening** includes a breakdown between survey data and programme-based data. Correspondents are invited to update the data series and to note any deviation from the proposed definitions in the *Sources and Methods*.

A new data collection on **colorectal cancer screening** was piloted in 2020. Based on the assessment of the data and metadata gathered in this pilot, the table on colorectal cancer screening has been adopted as ‘regular’ and moved to the worksheet “Screening”, as of the 2021 Joint Questionnaire. Both programme-based and survey-based screening rates are collected. Data are requested for women, men and the total population.

National correspondents are invited to provide in the *Sources and Methods* some basic information on colorectal cancer screening policies used in their country, e.g.:

- Target age range (e.g. 50-74 years old, etc.)
- Screening method (e.g. faecal immunochemical test (FIT) only, guaiac faecal occult blood test (gFOBT) only, colonoscopy only, FIT and colonoscopy, etc.)
- Screening interval for each method and target age range (e.g. FIT every year for people aged 50-54 and FIT every two years for people aged 55-70; FIT every year for people aged 50-54 and FIT every two years or colonoscopy every ten years for people aged 55 years and over; etc.)

Other requested metadata concern the source of data, the data coverage, the numerator and denominator used to calculate the screening rates (specifying target age range, screening methods and time period), and the frequency of the survey (for survey-based data).

Hospital aggregates

This request is designed to collect data on total (aggregate) hospital activities, including a specific focus on curative care for some indicators. It complements the more disaggregated data collection on hospital discharges and bed-days (or length of stays) by diagnostic groups (see below). Besides providing an opportunity for countries to report such aggregated data if they are not able to report the more disaggregated data, this data request allows an assessment of the data coverage of the more disaggregated data supplied by diagnostic groups. This data collection also serves to collect a few aggregate indicators that can be used in analysis of hospital efficiency or utilisation rate (e.g., occupancy rates of hospital beds).

The data should cover all hospitals. The table is made up of two parts:

- **Inpatient care:** National correspondents are invited to review the consistency between the inpatient aggregated data and the disaggregated data on hospital discharges and bed-days (or length of stays) by diagnostic groups. When discrepancies exist between both data sets, some explanation should be provided in the *Sources and Methods* (e.g. differences in data sources, in settings/services coverage, etc.).

- **Curative (acute) care:** The definition of curative care is based on the standard SHA definition. In addition to the request for total curative care aggregates, and following the new structure of the table on hospital beds, this data collection also aims to distinguish between **somatic curative care activities** and **psychiatric curative care activities**, for the following four indicators: hospital discharges, bed-days, ALOS and occupancy rates.

In order to align these activity indicators with curative care beds, the curative care aggregates should, in principle, be allocated according to the different types of hospitals and wards. If this is not possible, the number of discharges/bed-days with a main diagnosis included among mental and behavioural disorders (ICD-10 codes F00-F99) may be used as a proxy. National correspondents are invited to briefly describe in the *Sources and Methods* the methodology for differentiating between somatic and psychiatric activities.

Discharges, bed-days, average length of stay by diagnostic categories

Data on hospital discharges, bed-days and average length of stay (ALOS) by diagnostic categories are collected in two different ways, depending on countries.

- 1) **Countries in the WHO European Region** (e.g. EU Member States, EU candidate or potential candidate countries, EFTA countries, the United Kingdom, Israel)

For these countries, the joint data collection on hospital discharge data (HDD) follows the approach formerly adopted by WHO-Europe and Eurostat. The data are requested for discharges (inpatient cases and day cases) and bed-days by ICD-9, ICD-10 or ISHMT code⁴, by age group and by gender. The data collection on discharges and bed-days allows the calculation of average length of stay.

All countries in the WHO European Region are encouraged to supply their data using a separate **comma-delimited ASCII file** (.CSV). [Annex 4](#) provides all information regarding the submission of the HDD files.

- 2) **OECD non-European countries** (Australia, Canada, Chile, Colombia, Costa Rica, Japan, Korea, Mexico, New Zealand and the United States, as well as OECD non-EU accession countries)

The previous OECD data collection approach is still used for non-European countries. Data are requested for hospital discharges (inpatient cases only) and ALOS by ISHMT code, for the total population only. They are collected in the common Excel workbook, in the sheets “Discharges” and “ALOS”.

Hospital discharges should cover all hospitals (HP.1). They should include deaths in hospital and transfers to another hospital, but exclude transfers to other care units within a same hospital. Same day separations of inpatient cases (e.g. inpatients who die or are transferred to another hospital on the day of their admission) should be included in the number of discharges. The corresponding number of bed-days should be set to 1 day.

Countries submitting data according to ISHMT should exclude the “external causes of morbidity and mortality” (V, W, X and Y codes, chapter 20 in ICD-10), in order to avoid any double-counting of injuries which are already recorded in “injury, poisoning and certain other consequences of external causes” (S and T codes, chapter 19 in ICD-10). Discharges with unknown diagnosis should be allocated to ISHMT code 1803 (or ICD-10 code R69).

⁴ ICD: International Classification of Diseases. ISHMT: International Shortlist for Hospital Morbidity Tabulation.

In 2022, a new group (2200) has been added to the ISHMT list based on ICD-10 codes U00-U49 (“Provisional assignment of new diseases of uncertain etiology or emergency use”), especially to take into account Covid-19 cases. The “All causes” (ISHMT code 0000) data should include these Covid-19 cases, when they have been coded as *principal diagnosis* of the hospital discharge.

The mapping between the **International Shortlist for Hospital Morbidity Tabulation (ISHMT)** with ICD-10 and ICD-9 codes is available [here](#). National correspondents are invited to update the *Sources and Methods* for these variables in the Word (metadata) file for health care activities. Note that the *Sources and Methods* for **hospital discharges by diagnostic categories** as well as for **bed-days by diagnostic categories** or **ALOS by diagnostic categories** have been aggregated into one single “block” in the 2023 collection. Guidelines for completing these metadata are provided in [Table 6 of Annex 4](#).

Diagnostic exams

The chapter includes three variables: the number of **Computed Tomography (CT) exams**, **Magnetic Resonance Imaging (MRI) exams** and **Positron Emission Tomography (PET) exams**. The aim is to collect data on all diagnostic exams, including those carried out in hospitals and outside of hospitals. To allow for a more accurate reporting in those countries which may only have partial data coverage, the data collection includes a breakdown between exams in hospitals and in ambulatory care settings.

Surgical procedures

A common shortlist of 17 surgical procedures plus 5 subgroups was initially adopted by the three organisations. However, the data requests for transplantation of kidney and secondary hip replacement have been discontinued in 2018 (because information on kidney transplants is already collected by other international organisations; data availability on secondary hip replacement is somewhat more limited than for other procedures and the total number of hip replacement seems to provide more relevant information). Hence, the current common shortlist contains **16 surgical procedures** plus **4 subgroups**.

In addition to the total number of procedures, a split between **inpatient cases** and **day cases** is requested for each type of surgical procedure. For cataract surgery and tonsillectomy, the number of **outpatient cases** in hospital and outside hospital is also requested, where possible, in order to provide more complete coverage of same-day surgery. Following the SHA definitions, day cases are defined as admitted patients, while outpatient cases are defined as non-admitted patients.

In reporting data on the shortlist of procedures, correspondents are invited to follow as much as possible the proposed counting method which is designed to improve data comparability by avoiding double-counting procedures when more than one code may be used depending on each national classification system. Correspondents are invited to report either **a count of the number of patients** who have received a given procedure or to **only report one code per procedure category for each patient**. For example, if a percutaneous coronary intervention including a coronary stenting is recorded as two separate codes as is the case under ICD-9-CM, only one code/procedure should be reported; if a cataract surgery is recorded as two procedures (removal of the lens and insertion of the artificial lens), only one procedure should be counted. It is particularly important for correspondents to follow this proposed counting method for percutaneous coronary interventions, coronary bypasses and cataract surgery. Correspondents are invited to briefly describe in the *Sources and Methods* the counting method used.

The **common shortlist** of surgical procedures is provided in the document available at the link: http://stats.oecd.org/HEALTH_QUESTIONNAIRE/Surgical%20procedures/JQNMHC_MAPPING%20ICD-9-CM.pdf.

ANNEX 1. LIST OF MEMBER STATES OF OECD, EUROSTAT AND WHO-EUROPE

Country	OECD	EUROSTAT	WHO-EUROPE
Albania		(1)	✓
Andorra			✓
Argentina	(4)		
Armenia			✓
Australia	✓		
Austria	✓	✓	✓
Azerbaijan			✓
Belarus			✓
Belgium	✓	✓	✓
Bosnia & Herzegovina		(1)	✓
Brazil	(4)		
Bulgaria	(4)	✓	✓
Canada	✓		
Chile	✓		
Colombia	✓		
Croatia	(4)	✓	✓
Cyprus		✓	✓
Czech Republic	✓	✓	✓
Denmark	✓	✓	✓
Estonia	✓	✓	✓
Finland	✓	✓	✓
France	✓	✓	✓
Georgia		(1)	✓
Germany	✓	✓	✓
Greece	✓	✓	✓
Hungary	✓	✓	✓
Iceland	✓	(1) (2)	✓
Ireland	✓	✓	✓
Israel	✓		✓
Italy	✓	✓	✓
Japan	✓		
Kazakhstan			✓
Kyrgyzstan			✓
Korea	✓		
Kosovo		(1)	
Latvia	✓	✓	✓
Liechtenstein		(2)	
Lithuania	✓	✓	✓
Luxembourg	✓	✓	✓
Malta		✓	✓
Mexico	✓		
Monaco			✓
Montenegro		(1)	✓
Netherlands	✓	✓	✓
New Zealand	✓		
North Macedonia		(1)	✓
Norway	✓	(2)	✓
Peru	(4)		
Poland	✓	✓	✓
Portugal	✓	✓	✓
Republic of Moldova		(1)	✓
Romania	(4)	✓	✓
Russian Federation			✓
San Marino			✓
Serbia		(1)	✓
Slovak Republic	✓	✓	✓
Slovenia	✓	✓	✓
Spain	✓	✓	✓
Sweden	✓	✓	✓
Switzerland	✓	(2)	✓
Tajikistan			✓
Türkiye	✓	(1)	✓
Turkmenistan			✓
Ukraine		(1)	✓
United Kingdom	✓	(3)	✓
United States	✓		
Uzbekistan			✓

1) EU candidate and potential candidate countries covered in Eurostat data collection. 2) EFTA countries covered in Eurostat data collection. 3) The United Kingdom left the European Union on 31 January 2020. 4) OECD accession countries covered in OECD data collection.

ANNEX 2. LIST OF COMMON VARIABLES INCLUDED IN THE JOINT QUESTIONNAIRE ON NON-MONETARY HEALTH CARE STATISTICS

Health employment and education

Physicians (head counts)

Practising physicians
 Professionally active physicians
 Physicians licensed to practice

Physicians by age and gender

Physicians by age group and by gender

Physicians by categories

Generalist medical practitioners
 - General practitioners
 - Other generalist (non-specialist) medical practitioners
 Specialist medical practitioners
 - General paediatricians
 - Obstetricians and gynaecologists
 - Psychiatrists
 - Medical group of specialists
 - Surgical group of specialists
 - Other specialists n.e.c.
 Medical doctors not further defined

Midwives (head counts)

Practising midwives
 Professionally active midwives
 Midwives licensed to practice

Nurses (head counts)

Practising nurses
 - Professional nurses, practising
 - Associate professional nurses, practising
 Professionally active nurses
 - Professional nurses, professionally active
 - Associate professional nurses, professionally active
 Nurses licensed to practice
 - Professional nurses, licensed to practice
 - Associate professional nurses, licensed to practice

Caring personnel (personal care workers) (head counts)

Practising caring personnel (personal care workers)
 Professionally active caring personnel (personal care workers)

Dentists (head counts)

Practising dentists
 Professionally active dentists
 Dentists licensed to practice

Pharmacists (head counts)

Practising pharmacists
 Professionally active pharmacists
 Pharmacists licensed to practice

Physiotherapists (head counts)

Practising physiotherapists

Hospital employment (head counts and FTE)

Total hospital employment
 - Physicians employed by hospital
 - Professional nurses and midwives employed by hospital
 - Associate professional nurses employed by hospital
 - Health care assistants employed by hospital
 - Other health service providers employed by hospital
 - Other staff employed by hospital

Graduates

Medical graduates
 Dentists graduates
 Pharmacists graduates
 Midwives graduates
 Nursing graduates
 - Professional nursing graduates
 - Associate professional nursing graduates

Health workforce migration

Foreign-trained doctors

- Foreign-trained doctors, stock
 - Foreign-trained doctors, annual flow

Foreign-trained nurses

- Foreign-trained nurses, stock
 - Foreign-trained nurses, annual flow

Physical and Technical Resources

Hospitals (HP.1)

Hospitals
 - Publically owned hospitals
 - Not-for-profit privately owned hospitals
 - For-profit privately owned hospitals

General hospitals

Hospital beds by function (HP.1)

Total hospital beds
 - Somatic care beds
 - Psychiatric care beds
 - Curative (acute) care beds (total and breakdown between somatic care and psychiatric care)
 - Rehabilitative care beds (total and breakdown between somatic care and psychiatric care)
 - Long-term care beds (total and breakdown between somatic care and psychiatric care)
 - Other hospital beds (total and breakdown between somatic care and psychiatric care)

Hospital beds by sector (HP.1)

Total hospital beds

- Beds in publically owned hospitals
- Beds in not-for-profit privately owned hospitals
- Beds in for-profit privately owned hospitals

Intensive care – PILOT

Total ICU beds

- Total adult ICU beds
- Critical care adult beds
- Total neonatal ICU beds
- Total paediatric ICU beds

Total adult ICU bed-days

Total adult ICU occupancy rate

Number of days with adult ICU occupancy rate > 80%

Number of days with adult ICU occupancy rate > 95%

Beds in residential long-term care facilities (HP.2)

Beds in residential long-term care facilities

Medical technology (HP.1, HP.3 and HP.1+HP.3)

Computed Tomography Scanners

Magnetic Resonance Imaging Units

Positron Emission Tomography scanners

Gamma cameras

Mammographs

Radiation therapy equipment

Health care activities

AMBULATORY CARE

Consultations

Doctor consultations (in person)

Dentist consultations (in person)

Teleconsultations - PILOT

Total doctor consultations (including teleconsultations)

Teleconsultations

Immunisation

Immunisation against influenza (population aged 65+)

Screening (survey and programme data)

Breast cancer screening (% of females aged 50-69)

Cervical cancer screening (% of females aged 20-69)

Colorectal cancer screening (% of females, males and both)

HOSPITAL CARE

Hospital aggregates

Inpatient care discharges (all hospitals)

Inpatient care ALOS (all hospitals)

Curative care discharges (total and breakdown between somatic care and psychiatric care)

Curative care bed-days (total and breakdown between somatic care and psychiatric care)

Curative care ALOS (total and breakdown between somatic care and psychiatric care)

Curative care occupancy rates (total and breakdown between somatic care and psychiatric care)

Hospital discharges by diagnostic categories

Inpatient cases (*requested from all countries*)

Day cases (*requested from countries in WHO European Region*)

Hospital bed-days by diagnostic categories

Hospital bed-days (inpatient cases) (*requested from countries in WHO European Region*)

Hospital ALOS by diagnostic categories

Hospital average length of stay (inpatient cases) (*requested from OECD non-European countries*)

PROCEDURES

Diagnostic exams (HP.1, HP.3 and HP.1+HP.3)

Computed Tomography (CT) exams

Magnetic Resonance Imaging (MRI) exams

Positron Emission Tomography (PET) exams

Surgical procedures (shortlist of 16 procedures + 4 subgroups)

Total

- Inpatient cases

- Day cases

- Outpatient cases (collected for 2 procedures only)

ANNEX 3. APPROACHES TO OVERCOME BREAKS IN TIME SERIES

To minimise the frequency of series breaks due to measurement changes, national statistical agencies can use a number of approaches to reconstruct the series.

A first method is to estimate a conversion coefficient for the year when the break occurred by using the average growth rate for the preceding years. This method to revise the series before the break involves the following steps:

- a) Calculate the average of the annual growth rates for x years before the break in time series.
- b) Use this average growth rate to estimate a new theoretical figure for the year when the break occurred (consistent with the old series).
- c) Calculate a conversion coefficient between the actual figure and the estimate for the year when the break occurred (i.e. divide the actual figure by the estimate).
- d) Use this conversion coefficient to estimate backwards all data before the break (i.e. multiply the original data by the conversion coefficient).

Example to overcome a break in series between 2001 and 2002

	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
Original time series	11670	11880	12000	12100	12460	12830	13200	14600	14960	15820	16750	17680	18370	19100
Annual growth rates	2.0	1.8	1.0	0.8	3.0	3.0	2.9	10.6						
Average of growth rates over 1995-2001								2.1						
Estimate for 2002								13473						
Conversion coefficient								1.084						
Revised time series	12646e	12874e	13004e	13112e	13502e	13903e	14304e	14600	14960	15820	16750	17680	18370	19100
Annual growth rates	2.0	1.8	1.0	0.8	3.0	3.0	2.9	2.1						

[Note: A slightly different option would be to calculate the average of the annual growth rates before the break and after the break (for one or more years), and then to follow the steps b to d described above.]

A second approach involves the compilation of the series using both the old and new methods for a certain period of time around the implementation of the new method, from which a concordance coefficient can be calculated. This concordance coefficient can then be used to revise the part of the series before the break.

ANNEX 4. SPECIFICATIONS AND STANDARD DATA FORMAT FOR SUBMISSION OF NATIONAL HOSPITAL DISCHARGE DATA (HDD)

This annex concerns only countries in the **WHO European Region** (EU Member States, EU candidate or potential candidate countries, EFTA countries, the United Kingdom, Israel, and others). Table 1 below displays the complete list of countries involved in the preparation of Hospital Discharge Data (HDD) files.

Table 1. Two-character country codes for countries in the WHO European Region

AL	Albania	GR	Greece	NL	Netherlands
AD	Andorra	HR	Croatia	NO	Norway
AM	Armenia	HU	Hungary	PL	Poland
AT	Austria	IE	Ireland	PT	Portugal
AZ	Azerbaijan	IL	Israel	RO	Romania
BE	Belgium	IS	Iceland	RU	Russian Federation
BG	Bulgaria	IT	Italy	SE	Sweden
BA	Bosnia and Herzegovina	KZ	Kazakhstan	SI	Slovenia
BY	Belarus	XK	Kosovo	RS	Serbia
CH	Switzerland	KG	Kyrgyzstan	SK	Slovakia
CZ	Czech Republic	LI	Liechtenstein	SM	San Marino
CY	Cyprus	LT	Lithuania	TJ	Tajikistan
DE	Germany	LU	Luxembourg	TM	Turkmenistan
DK	Denmark	LV	Latvia	TR	Türkiye
EE	Estonia	MC	Monaco	UA	Ukraine
ES	Spain	ME	Montenegro	UK	United Kingdom
FI	Finland	MD	Republic of Moldova	UZ	Uzbekistan
FR	France	MK	North Macedonia		
GE	Georgia	MT	Malta		

Source: ISO 3166 (except UK).

Data coverage and definition

Data should include discharges from all public and private hospitals (HP.1 according to the International Classification of Health Accounts) during a given calendar year. Data from residential long-term care facilities (HP.2) should not be included.

Countries shall provide three indicators:

1. Inpatient discharges
2. Bed-days
3. Day case discharges

broken down by diagnosis, sex, age and regions at NUTS 2 and according to the definitions of the joint questionnaire on non-monetary health care statistics:

- An **inpatient discharge** is the release of a patient who was formally admitted into a hospital for treatment and/or care and who stayed for a minimum of one night.
- A **bed-day** (or inpatient day) is a day during which a person admitted as an inpatient is confined to a bed and in which the patient stays overnight in a hospital.
- A **day-care discharge** is the release of a patient who was formally admitted in a hospital for receiving planned medical and paramedical services, and who was discharged on the same day.

Further down in this document the different classifications for the diagnosis and age categories are listed. However, for the sake of harmonization, it is recommended to use ICD10 3-character for the diagnosis category and the 23 age groups list (option one) for the age category.

In case the hospital discharge records do not include the special identification of day cases, any record showing that admission and discharge dates were identical should be assigned to the day case category if the patient was alive when discharged.

Data should include discharges to home and to other inpatient institutions, and deaths in hospital.

Countries using hospital treatment episodes as individual record units (i.e. when patients are transferred among departments in the same hospital, each transfer is recorded as a new admission/discharge) should make an effort to merge related episodes into one discharge record in order to make the data internationally comparable.

In accordance with the ICD, it is recommended that the main diagnosis be defined as the condition diagnosed at the end of the hospitalisation period, primarily responsible for the patient's need for treatment or examination at the hospital. If there is more than one such condition, the one held responsible for the greatest use of resources should be selected. If no diagnosis was made, the main symptom, abnormal finding or problem should be selected as the main diagnosis.

Data files

The hospital discharge data (HDD) file should contain the number of annual inpatient discharges, bed-days and day case discharges by main diagnosis or external cause of hospitalisation, by age and sex.

The HDD file should be a text **file (.CSV)** with the fixed field length of variables according to the record lay-out (see Table 2).

Table 2. Record lay-out of the HDD file

Variable no. and name	Variable description	Type	Size in case of fixed field length
1. Country	Two-character country code (ISO 3166, see Table 1 or www.iso.org)	Character	2
2. Year	Year of discharge	Integer	4
3. Age	Code of age grouping (see Table 3)	Integer	1
4. Gender	Code of gender (see Table 4)	Integer	1
5. ICD version	Code of ICD version used to code diagnosis (see Table 5)	Character	3
6. Diagnosis	Code of diagnosis or external cause (ICD-9 or ICD-10 code corresponding to above code of ICD version)	Character	5
7+3n. Discharges	Number of inpatient discharges (excluding day cases) with above diagnosis, by defined age groups	Integer	10
8+3n. Bed-days	Number of bed-days used for above diagnosis, by defined age groups	Integer	10
9+3n. Day cases	Number of day case discharges with above diagnosis, by defined age groups	Integer	10

The file name should be constructed as follows: **HDD_CountryCode_Year.csv**. A 2-character ISO 3166 country code (see Table 1) should be used, e.g. a data file from Austria with data for 2012 will be named **HDD_AT_2012.csv**.

Each record in this data file should contain data on one diagnosis for one gender by defined age groups. The total length of the record depends on the age grouping used. Normally, 23 age groups should be used (option 1, see Table 3) and in this case the total number of variables per record is 75. In case of four age groups, or if no age disaggregation is used, there should be respectively 18 or 9 variables per record.

Examples of four records in HDD file for country CC

```
CC,2004,1,1,103,TOT,332583,3475024,7453,16877,113395,372,18958,111404,667,15427,95337,709,16512,125509
,543,15127,108670,543,12357,110752,451,11156,115751,446,13005,147047,461,14676,162940,416,18698,220397
,447,20094,241176,419,22010,265966,395,23844,278469,345,25534,304968,325,26276,313408,343,25924,305147
,286,20188,241388,158,10384,133496,77,3128,42016,24,1290,20403,9,641,10376,1,477,7009,16
CC,2004,1,2,103,TOT,444550,4369903,13560,13560,88882,284,14748,87913,447,13115,85400,579,15235,124855,
441,21119,144205,755,27092,157676,1198,28703,174108,1242,23620,159912,1280,19761,153625,1235,21137,189
059,1279,24186,228055,1169,26751,262826,953,25711,262413,660,26482,280801,568,32019,357613,512,37678,4
66531,416,36987,506571,300,24029,386811,159,8322,161754,53,3511,75761,20,634,13496,4,150,1636,6
CC,2004,1,1,103,A02,682,4316,3,42,276,0,168,1043,3,88,552,0,36,221,0,29,151,0,51,284,0,37,245,0,27,144
,0,26,175,0,29,169,0,32,188,0,26,166,0,24,157,0,28,205,0,11,96,0,8,59,0,15,144,0,4,27,0,1,14,0,0,0,0,0
,0,0,0,0,0
CC,2004,1,2,103,W54,53,309,0,0,0,0,7,28,0,6,26,0,7,24,0,2,8,0,2,9,0,2,13,0,0,0,0,3,19,0,2,7,0,1,6,0,1,
8,0,5,55,0,2,9,0,3,12,0,4,36,0,5,38,0,1,11,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0
```

Variable definitions

3. Age: Several options are available. The preferred option is to have the data tabulated by 23 age groups (22 groups plus total population, option 1 in Table 3). This will allow age-standardisation of hospitalisation rates and a detailed age-specific analysis of the data. However, some countries may not be able to provide data in such details, due to technical reasons or others. In this case, two other options are provided, i.e. using three age groups or all ages only.

Table 3. Options for age groupings

Age group No (n=)	Option 1 (Age=1)	Option 2 (Age=2)	Option 3 (Age=3)	Option 4 (Age=4)
0	All ages	All ages	All ages	All ages
1	< 1 year	0-14 years		< 1 year
2	1-4	15-64		1-4
3	5-9	65+		5-9
4	10-14			10-14
5	15-19			15-19
6	20-24			20-24
7	25-29			25-29
8	30-34			30-34
9	35-39			35-39
10	40-44			40-44
11	45-49			45-49
12	50-54			50-54
13	55-59			55-59
14	60-64			60-64
15	65-69			65-69
16	70-74			70-74
17	75-79			75-79
18	80-84			80-84
19	85-89			85+
20	90-94			Age unknown
21	95+			
22	Age unknown			

4. Gender: see Table 4. Normally, all hospital discharge records should have valid gender codes. In cases where gender has not been recorded, the additional record with the gender code = 0 may be generated to ensure that all discharges are included in the total after adding figures for male, female and unknown gender.

Table 4. Code table for gender

Gender	Code
Male	1
Female	2
Unknown/not recorded	0

5. ICD version: Indicates which version of the International Classification of Diseases is used to code the diagnosis (see Table 5).

Table 5. Code table for ICD version used to code main diagnosis

Code	Classification system
094	ICD-9 4-character list
103	ICD-10 3-character list (most preferable)
104	ICD-10 4-character list
HMT	International Shortlist for Hospital Morbidity Tabulation (ISHMT) *

* Can be downloaded from [here](#).

ISHMT was adopted at the meeting of WHO Collaborating Centres for the Family of International Classifications (WHO-FIC), Tokyo, Japan, 16-22 October 2005. It contains 149 groups of diagnoses including the total. It originates from the list developed under the Hospital Data Project (funded by DG Sanco of European Commission).

Note: It is highly preferable that the original **3-character ICD-10 codes** be used whenever possible, as this will allow for much more flexibility in subsequent data analyses. When the original ICD codes are aggregated into a short list, analysis of the data by detailed individual ICD codes (diagnoses) becomes impossible.

Aggregation of the ICD codes into shorter tabulation lists can be done by international agencies depending on needs and data dissemination practices.

6. Diagnosis:

- Where ICD-10 is used, three-character codes are sufficient.
- In the case of ICD-9, four-character codes are necessary. Do not use a dot to separate the fourth character in the code. For external causes (Supplementary Classification of External Causes), use prefix E. For example, a motor vehicle traffic accident involving collision with a pedestrian who has been injured should be coded as E8147.
- The four-character codes of the International Shortlist for Hospital Morbidity Tabulation (ISHMT) can also be used.

In the case of fixed record length, codes should be aligned to the left.

The HDD file should include an additional record for each gender containing the total of all discharges with the diagnosis code 'TOT' when "healthy newborn babies" are included; otherwise the code must be 'TOE'.

Hospitalisations due to external causes will contribute twice: once with the code of the injury (Chapter 17 of ICD-9 or Chapter 19 of ICD-10), and once with the code corresponding to external causes (Supplementary Classification of External Causes with prefix "E" of ICD-9 or Chapter 20 of ICD-10).

Care should be taken not to count such cases twice in the summary record with code “TOT” (or “TOE”). If external causes are not recorded, corresponding records will not appear in the HDD file.

7+3n. Discharges: The total number of inpatient discharges (excluding day cases) with the above diagnosis for a given gender and age group. The total figure for all ages is included in position 7, age below 1 year in position 10, age group 1-4 years in position 13, etc. See Table 3 for **n** value corresponding to each age group.

8+3n. Bed-days: The total number of bed-days used by inpatients (excluding day cases) with the above diagnosis for given gender and age group. The total figure for all ages is included in position 8, age below 1 year in position 11, age group 1-4 years in position 14, etc.

9+3n. Day cases: The total number of day case discharges with the above diagnosis for a given gender and age group. The total figure for all ages is included in position 9, age below 1 year in position 12, age group 1-4 years in position 15, etc.

In case of **missing data** in any of the three categories listed above, the field must be empty. Only when there are zero cases the field must be filled with '0'. For example, if data about 'Healthy newborn babies' are not available, it shall not be included with '0' cases, or if bed-days data are not available then the column must be empty.

Metadata

The data should be accompanied by the “metadata”, i.e. the description of national sources, methods, definitions, degree of data completeness and coverage, explanations of any peculiarities in national statistical and coding practices, etc. (see Table 6 for recommended content). The metadata should be entered in the Word file for health care activities (after the definitions for **Hospital discharges by diagnostic categories, Hospital bed-days by diagnostic categories and Hospital ALOS by diagnostic categories**).

Table 6. Recommended content of metadata related to the sources and methods of hospital discharge data

1) List the type, name, location and owner or operator of the national hospital patient registers or discharge database(s) (NHDDB), which were used to produce the HDD file.
2) Does the NHDDB cover all inpatient institutions in the country, which are classifiable as HP.1 providers according to the “System of Health Accounts”? List types of hospitals, which are covered and not covered, e.g. private hospitals, military or prison hospitals, etc., and, if possible, estimate their total capacity as compared to those that are covered by the NHDDB.
3) Does the NHDDB include all inpatient discharges and day cases in hospitals covered? List cases, which may not be included in the NHDDB (e.g. uninsured patients, foreigners, military staff, etc). If possible, estimate the proportion of missing discharge records.
4) If the discharge records were based on treatment episodes (consultant episodes, department discharges), have such multi-episode cases been combined into one discharge record? If possible, estimate the proportion of multi-episode in-patient cases.
5) If the HDD file includes day cases, how were they defined? Was there a special index flagging planned day cases in the NHDDB or were they identified by the same admission and discharge dates?
6) Describe any other known or suspected peculiarities in the coverage of the data.
7) Explain principles involved in defining the main diagnosis (condition) in the hospital discharge record.
8) Describe any known or suspected peculiarities related to the national diagnostic and recording practices and related to how the main condition is selected.