# OECD STANDARD CODES FOR THE OFFICIAL TESTING OF AGRICULTURAL AND FORESTRY TRACTORS

**General Texts** 

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#### FOREWORD

1. The first Standard Code for the Official Testing of Agricultural Tractors was approved on 21 April 1959, by the Council of the OEEC (Organisation for European Economic Co-operation) which became the OECD (Organisation for Economic Co-operation and Development). This Code has since been extended to cover forestry tractors and other features of performance, safety and noise.

The current OECD Codes for tractor testing relate to:

•	the performance of tractors	(Code 2)*
•	the strength of protective structures for standard tractors (Dynamic Test)	(Code 3)
•	the strength of protective structures for standard tractors (Static Test )	(Code 4)
•	noise measurement at the driver's position(s)	(Code 5)
•	the strength of the front-mounted roll-over protective structures on narrow-track agricultural and forestry tractors	(Code 6)
•	the strength of the rear-mounted roll-over protective structures on narrow-track agricultural and forestry tractors	(Code 7)
•	the strength of protective structures on tracklaying tractors	(Code 8)
•	the strength of protective structures for telehandlers	(Code 9)

2. The present document cancels and replaces the previous editions. It includes Council Decisions C(2005)1, C(2006)88, C(2006)92, C(2006)149, C(2007)2, C(2007)89, C(2007)127, C(2008)120, C(2008)128, TAD/CA(2009)4 $^{\dagger}$ , TAD/CA(2010)7 $^{\dagger}$ , TAD/CA(2011)2 $^{\dagger}$ , TAD/CA(2012)11 $^{\dagger}$ , TAD/CA(2013)12 $^{\dagger}$ , TAD/CA(2014)8 $^{\dagger}$ , TAD/CA(2015)18 $^{\dagger}$ , C(2015)103, C(2016)25, TAD/CA(2016)28 $^{\dagger}$ , TAD/CA(2017)20 $^{\dagger}$ , TAD/CA(2018)13 $^{\dagger}$ , C(2018)120, C(2018)120/CORR1, C(2019)112, TAD/CA(2019)8 $^{\dagger}$ , TAD/CA(2020)8 $^{\dagger}$ , TAD/CA(2022)1 $^{\dagger}$ , TAD/CA(2023)1 and C(2023)29 as implemented and constitutes its published version.

the strength of falling object protective structures for agricultural and forestry tractors (Code 10)

3. More than 2 750 tractor models have received performance test approval since the Codes were established in 1959. Furthermore, more than 10 800 variants of tractors were tested for noise measurement at the driving position, or in most cases, for the driver's protection in case of tractor roll-over; having satisfied the safety criteria of the Codes they have been released for trading nationally or internationally by the participating countries, notably Member States of the European Union.

<sup>\*</sup> The former Code 1 was repealed.

<sup>†</sup> Proposal approved by the Committee for Agriculture (CoAG) on behalf of the OECD Council

- 4. The approval by OECD of national tractor test reports is granted in co-operation with a Co-ordinating Centre for OECD tests selected through a call for tender.
- 5. The importance of the Codes has continued in spite of the profound restructuring which has affected the agricultural machinery industry. Governments have shown interest to deregulate industrial policies and businesses wish to introduce quality assurance methods of the ISO 9000 type. This has demonstrated the significance of the Codes: the principle "one tractor—one description—one test" is more than a set of regulatory measures, it is a means to simplify existing international trade procedures, to establish specifications and basic performance criteria and to ensure a minimum of quality for the traded material. The Codes bring transparency thereby contributing to increase the extent of the agricultural machinery market.
- 6. At present, <u>27 countries</u>, i.e. 22 of the 37 OECD Members and 5 countries which are not members of the OECD adhere to the Codes, which are open to the Member States of the United Nations Organization:
  - As for Members, these include Iceland, Japan, the Republic of Korea, Norway, Switzerland, Turkey, United Kingdom, the United States, and 14 of the 27 states of the European Union (fifteen OECD members do not participate in the Codes, i.e., Australia, Canada, Chile, Colombia, Denmark, Estonia, Greece, Hungary, Israel, Latvia, Lithuania, Mexico, the Netherlands, New Zealand, and Slovenia);
  - As for non-Members, these are Brazil, China, India, the Russian Federation and Serbia.
- 7. Numerous other countries use the Codes entirely or partially for national testing, call for tender or regulation concerning imports. Private businesses also use the Codes within the framework of international operations and refer to them in their marketing activities. Agricultural advisory services refer to the Codes when searching for tractor type and power most suitable for diverse agricultural operations and structures. Farm media use them for comparative tests. In a nutshell, farmers and other users of the Codes draw from them increased safety, technical reliability and market confidence. Certain tests are equally useful in the implementation of national legislation regarding environmental protection.
- 8. Frequent discussions between the Designated Authorities at Annual Meetings ensure a progressive harmonisation of such different approaches as exist in the European Union or North America or Asia. The OECD Codes refer to ISO standards as much as possible. Compared to the ISO, the OECD applies a centralised approval method for tests, which lends them international official standing. The ISO standardises certain aspects of manufacturing, measurement and testing of tractors in a partial and piecemeal manner, without there existing an international approval mechanism which allows to authenticate tractor description or performance and, therefore, not permitting comparison.
- 9. The OECD is not competent for direct type approval or commercialisation of tractors, even though in many countries the OECD safety testing procedures serve to either admit or reject the protective structures. These Codes offer, therefore, a clear possibility to harmonise approval procedures regarding true performance, occupational safety and environmental impacts.

- 10. A particular feature of the OECD Codes is that every other year a Test Engineers' Conference is organised at the invitation of a participating country. These meetings of national engineers in charge of testing took place at:
  - AFRC, 1981, in Silsoe, United Kingdom;
  - DLG, 1983, in Gross-Umstadt, Germany;
  - Cemagref, 1985, in Antony, France;
  - SMP, 1987, in Alnarp, Sweden;
  - BLT, 1989, in Wieselburg, Austria;
  - University of Nebraska, 1991, in Lincoln, United States;
  - FAT, 1993, in Tänikon, Switzerland;
  - IMA, CNR, 1995, in Turin, Italy;
  - IAM-BRAIN, 1997, in Omiya, Japan;
  - SZZPLS, 1999, in Prague, Czech Republic;
  - MTT, 2001, in Vakola, Finland;
  - EMA, 2003, in Madrid, Spain;
  - CAMTC, 2005, Beijing, and COTTEC, Luoyang, China;
  - TAMTEST, 2007, in Ankara and Istanbul, Turkey;
  - RDA, 2009, in Suwon, South Korea;
  - DLG, 2011, in Gross-Umstadt, Germany;
  - CNR-IMAMOTER, CRA- ING, DISAA, DISTAL, 2013 in Bologna, Milan, Treviglio and Turin, Italy;
  - NTTL, 2015, in Illinois, Iowa and Nebraska, United States;
  - NARO, 2017, in Saitama, Japan;
  - BLT, 2019, in Wieselburg, Austria;
  - UTAC and INRAE, 2022, in Montlhéry and Montoldre, France;
  - TAMTEST, 2023, in Ankara and Istanbul, Türkiye.

These conferences permit a correct and coherent interpretation of testing procedures and prepare their updating. They facilitate the verification of test reports carried out by the Co-ordinating Centre, which liaises between the national Testing Stations and the OECD for technical matters.

11. The Codes may well provide indirectly free valuable information and even test methods to countries not participating in them; however, full benefits are not separable from true participation of a country in the Codes both officially and practically. All governments willing to create a business environment conducive to openness to international trade and companies with the intent of reducing regulatory costs have a joint interest in adhering formally to the Codes. Participation also allows one to influence rule setting.

No test without formal participation should be traced to or identified with OECD in view of the uncertainties attached to the particularity of national methods and interpretations and the lack of reference to a central test clearance system.

12. The full text of the OECD Tractor Codes (English and French) as well as summaries of test reports approved according to Code 2 are available on line at the following address:

### http://www.oecd.org/agriculture/tractors/

#### LIST OF NATIONAL DESIGNATED AUTHORITIES AND TESTING STATIONS (as of 12 February 2024)

**AUSTRIA** HBLFA Francisco Josephinum

**BLT Wieselburg** 

Federal Institute of Education and Research for Agriculture, Agricultural Engineering, Food

Technology & Biotechnology, Information Technology

in Agriculture

Rottenhauser Strasse 1, A-3250 WIESELBURG

CENTRE WALLON DE RECHERCHES **BELGIUM** 

> AGRONOMIQUES 146, Chaussée de Namur **B-5030 GEMBLOUX**

**BRAZIL** Ministério de Agricultura Pecuária e Abastecimento

Esplanada dos Ministérios, Bloco D

BRASÍLIA-DF -CEP: 70048-900

STATE ADMINISTRATION FOR MARKET

REGULATION OF THE PEOPLE'S REPUBLIC OF

CHINA (SAMR)

**CHINA** 

**CZECH** 

REPUBLIC

CERTIFICATION AND ACCREDITATION

ADMINISTRATION OF THE PEOPLE'S REPUBLIC

OF CHINA (C.N.C.A.) Department of Certification

9# Madian East Road, HAIDIAN, BEIJING 100088

C.O.T.T.E.C.

CHINA OFFICIAL TRACTOR TEST AND

**EVALUATION CENTER** Jianxi District, Henan Province

**LUOYANG 471039** 

C.A.M.T.C. (CHINA AGRICULTURAL MACHINERY TESTING CENTRE (China

Agricultural Mechanization Center, Ministry of

Agriculture and Rural Affairs) No. 96, Dongsanhuan Nanlu

**BEIJING 100122** 

STATNI ZKUSEBNA STROJU A.S.

GOVERNMENT TESTING LABORATORY OF

**MACHINES** 

Tranovskeho 622 / 11 CZ 163 04 PRAHA 6 REPY Tel: +43.74 16 52 175 655 Fax: +43.74 16 52 175 645

E-mail: ewald.luger@josephinum.at

Tel: +32.81.87.40.10

Fax: +32.81.87.40.20 E-mail: j.bruart@cra.wallonie.be

E-Mail: <u>f.rabier@cra.wallonie.be</u>

Tel: +55.61.3218.2836

E-mail:

luis.pacheco@agricultura.gov.br

Tel: +86.10.822.62.699

Fax: +86.10.822.60.746 E-mail: gyprzc@samr.gov.cn

Tel: +86.379.6269.0095

Fax: +86.379.6269.0350

E-mail: cottec.oecd@vip.163.com

Tel: +86.10.59199023

Fax: +86.10.59199023

E-mail: kjch@camtc.net;

tixichu1409@126.com

Tel: +420.235.018.276 Mob: +420.773.908.481

E-mail: jerabek@testinglaboratory.cz;

kubasek@testinglaboratory.cz

Tel: +33 (0)1 69 80 52 74

**FINLAND Eurofins Expert Services Oy** Tel: +358 40 614 7149

Testing and Standardisation E-mail: teuvolounakoski@eurofins.fi

Tekniikantie 15 A 02150 Espoo

**FRANCE** Ministère de l'Agriculture et de la Souveraineté Tel: +33 (0)1 49 55 60 71; +33 (0)1 49

> Alimentaire 55 47 63

Secrétariat Général Email: philippe.quittatodelain@agriculture.gouv.fr; S.A.F.S.L/S.D.T.P.S.

christophe.duminy@agriculture.gouv.fr Bureau des relations et des conditions de travail en

agriculture

78, rue de Varenne F-75349 PARIS 07 SP

Union technique de l'automobile, du motocycle et du

cycle (UTAC)

Email: thierry.langle@utac.com Autodrome de Linas-Montlhéry F-91310 MONTLHÉRY

**GERMANY** Federal Ministry of Food and Agriculture Tel: +49 228 99 529 3935

> (BMEL) Fax: +49 228 99 529 55 3935 Rochusstrasse 1, 53123 BONN Email: 725@bmel.bund.de

Tel: +49.69.24.78.8-644 DLG TestService GmbH Max-Eyth-Weg 1 Mob: +49.0173.649.1060

D-64823 GROSS-UMSTADT Fax: +49.69.24.78.8-690 E-mail: T.Keunecke@DLG.org

RANNSÓKNASTOFNUN LANDBÚNADARINS **ICELAND** 

BÚTAEKNIDEILD

Agricultural Research Institute **Technical Department** 

Hvanneyri, 311 BORGARNES

**INDIA** CENTRAL FARM MACHINERY Tel: +91.7564.299003

> TRAINING AND TESTING INSTITUTE E-mail: fmti-mp@nic.in, Ministry of Agriculture and Farmers Welfare akupadhaya.19@gov.in Department of Agriculture, Cooperation & Farmers

Welfare Tractor Nagar

BUDNI (Madhya Pradesh) 466 445

JOINT SECRETARY TO THE GOVERNMENT OF Tel: +91.11.2338 6124 E-mail: s.rukmani@nic.in

**INDIA** 

Ministry of Agriculture and Farmers Welfare Department of Agriculture, Cooperation & Farmers Welfare, Mechanization and Technology Division

Krishi Bhawan NEW DELHI 110001

**IRELAND TEAGASC** Tel: +353 503 70200 OAK PARK RESEARCH CENTRE Fax: +353 503 42423

**CARLOW** E-mail: dforristal@oakpark.teagasc.ie

ITALY ALMA MATER STUDIORUM UNIVERSITÀ DI Tel: +39.051.2096041

BOLOGNA

Department of Agricultural and Food Sciences

(DISTAL) Via Gandolfi, 19 I-40057 Cadriano **BOLOGNA** 

UNIVERSITÀ DEGLI STUDI DI MILANO Tel: +39.02.50.3168.76 DiSAA – Dipartimento di Scienze Agrarie e Fax: +39.02.50.31.68.45

E-mail: enrico.capacci@unibo.it

E-mail: domenico.pessina@unimi.it

E-mail: eugenio.cavallo@stems.cnr.it

E-mail: maurizio.cutini@crea.gov.it

Tel: +82.63.238.0835

Fax: +82.63.238.1773

Tel: +352 247 82500

Email: hojuny@korea.kr

Email: kimeunkuk@koat.or.kr

Ambientali Via G. Celoria, 2 I-20133 MILANO

CONSIGLIO NAZIONALE DELLE RICERCHE Tel: +39.011.397.72.24 Istituto di Scienze e Tecnologie per l'Energia e la Fax: +39.011.348.92.18

Mobilità Sostenibili Strada delle Cacce, 73

I-10135 TORINO

Consiglio per la ricerca in agricoltura e l'analisi Tel: +39.03.634.96.03 Fax: +39.03.634.96.03

dell'economia agraria

Centro di Ricerca Ingegneria e Trasformazioni

agroindustriali CREA-IT Via Milano, 43

**JAPAN** 

**KOREA** 

I-24047 TREVIGLIO / BG

I.A.M - NARO Tel: +81.48.654.7125

INSTITUTE OF AGRICULTURAL MACHINERY / Fax: +81.48.654.7135 National Agriculture and Food Research Organization E-mail: <u>IAM-OECD@ml.affrc.go.jp</u>

1-40-2 Nisshin-cho,

Kita-ku Saitama-shi, SAITAMA-KEN 331-8537

RURAL DEVELOPMENT ADMINISTRATION

(REPUBLIC (RDA) OF) 300, Nongsaengmyeong-ro, Deokiin-gu,

Jeonju-si, JEONBUK-DO (54875)

KOREA AGRICULTURE TECHNOLOGY Tel: +82.63.919.1734 PROMOTION AGENCY (KOAT) Fax: +82 63.919.1589

Agricultural Machinery Test Team 457 Pyeongdong-ro, Iksan-si, JEONBUK-DO (54667)

LUXEMBOURG MINISTÈRE DE L'AGRICULTURE

3, rue de la Congrégation Fax: +352 46 40 27 L-LUXEMBOURG E-mail: info@sip.etat.lu

**NORWAY** AGRICULTURAL UNIVERSITY OF NORWAY Tel: +47.6494.8692 DEPT. OF AGRICULTURAL ENGINEERING Fax: +47 6494 8820

> P. O. Box 65 N-1432 ÅS

POLAND ITP - PIB Tel: +48 22 628 37 63

INSTITUTE OF TECHNOLOGY AND LIFE Tel: +48 22 735 75 02 SCIENCES - NATIONAL RESEARCH INSTITUTE E-mail: itp@itp.edu.pl,

s.dorocinski@itp.edu.pl Falenty, 3 Hrabska Avenue, 05-090 RASZYN

**PORTUGAL** Ministère de l'agriculture et de l'alimentation Tel: +351 21 844 24 74 / 84

Fax: +351 21 844 24 72 Direction Générale de l'Agriculture et du Développement Rural E-mail: scandeias@dgadr.pt

fcaetano@dgadr.pt Av. Afonso Costa, nº 3

1949-002 LISBOA

MINISTRY OF AGRICULTURE RUSSIAN Chairman of the Board – Vitaliy

**FEDERATION** Association of Testers of agricultural machinery and Maslovskiy technology Tel.: +8 (861-95) 3-60-63

5 Kutuzov str., Novokubansk, Krasnodar region, E-mail: vitaliy maslovskiy@bk.ru

Russian Federation, 352243 Web-site: http://www.aist-agro.ru

SERBIA IPM - ASSOCIATION OF MANUFACTURERS OF Tel: +381 11 2 457 135 IPM – Association of Manufacturers of Tractors and Fax: +381 11 2 458 844

Agricultural Machinery in Serbia Email: udruipm@bitsyu.net Makenzijeva str. 79/II 11000 BELGRADE

LABORATORY FOR POWER MACHINES AND Tel: 381.21.48.53.256 **TRACTORS** Fax: 381.21.459.989

Faculty of Agriculture Email: <u>lazar.savin@polj.uns.ac.rs</u>

University of Novi Sad Dositeja Obradovica Sq. 8

AND SLOVAK **MINISTRY** OF **TRANSPORT** Tel.: 02/594 94 709 **REPUBLIC** CONSTRUCTION OF THE SLOVAK REPUBLIC Fax: 02/524 92 720

21000 NOVI SAD

c/Almagro 33 5ºplanta.

Section Road Transport and Roads Email: Dusan.Stofik@mindop.sk

State transport office

Námestie Slobody č.6, P.O.BOX 100, 810 05 **BRATISLAVA** 

**SPAIN** MINISTERIO DE AGRICULTURA, PESCA Y Tel:+ 34 91 347 66 59

ALIMENTACIÓN E-mail: MPAyOEVV@mapa.es

Dirección General de Producciones y Mercados

Agrarios

**28010 MADRID** 

Tel: +34 91 347 15 99 E.M.A. ESTACIÓN DE MECANICA AGRICOLA E-mail: ema@mapa.es

Carrera de Madrid-Toledo, km 6.8 E-28916 LEGANES (MADRID)

**SWEDEN** STATENS MASKINPROVNINGAR Tel: +46 10 516 64 18

Box 56

S-230 53 ALNARP

Email: stefan.olsson@smp.ri.se

E-mail: roger.stirnimann@bfh.ch

RGM/tamtest

SMP Svensk Maskinprovningar AB Tel: +46 18 56 15 00 Fyrisborgsgatan 3 Fax: +46 18 12 72 44

S-754 50 UPPSALA

STATENS MASKINPROVNINGAR

Box 5053 S-900 05 UMEA

**SWITZERLAND** Bern University of Applied Sciences Tel: +41 31 910 21 27

School of Agricultural, Forest and Food Sciences Fax: +41 31 910 22 99

Länggasse 85

CH-3052 ZOLLIKOFEN

TÜRKİYE T.C. TARIM VE ORMAN BAKANLIGI Tel: +90.312.315.65.74/315.56.85

> TARIM ALET VE MAKINE TEST Fax: +90.312. 315.04.66 MERKEZI MÜDÜRLÜGÜ (TAMTEST) E-mail:

(Directorate of Testing Centre of Agricultural cengizismail.cay@tarimorman.go

Equipment and Machine) (TAMTEST)

https://www.tarimorman.gov.tr/T

Gayret Mahallesi Sehit Cem Ersever Caddesi No: 27/6

TR 06170 Yenimahalle-ANKARA

VEHICLE CERTIFICATION AGENCY UNITED

KINGDOM Midlands Centre Tel: +44 117 952 41 15

> Watling Street E-mail: chris.mccabe@vca.gov.uk

CV10 OUA NUNEATON

UNITED A.E.M. Tel:+1 (414) 274-0676 **STATES** ASSOCIATION OF EQUIPMENT E-mail: Twebb@aem.org,

**MANUFACTURERS** 

6737 West Washington Street

**Suite 2400** 

MILWAUKEE, WI 53214-5647

NEBRASKA TRACTOR TEST LABORATORY

Biological Systems Engineering Department Tel: +1.402.472.0956 Fax: +1.402.472.6338

245 L.W. Chase Hall P.O. Box 830726 E-mail: rhoy2@unl.edu LINCOLN, NE 68583-07262

# DECISION OF THE COUNCIL REVISING THE OECD STANDARD CODES FOR THE OFFICIAL TESTING OF AGRICULTURAL AND FORESTRY TRACTORS

THE COUNCIL,

Having regard to Article 5 a) and c) of the Convention on the Organisation for Economic Co-operation and Development of 14 December 1960;

Having regard to the Decision of the Council of 19 April 2000 [C(2000)59/FINAL], amended on 13 February 2001 [C(2001)5/FINAL], 17 December 2001 [C(2001)267/FINAL], 25 February 2002 [C(2002)17/FINAL], 26 February 2003 [C(2003)14/FINAL], on 22 January 2004 [C(2003)252/FINAL], on 22 September 2006 [C(2006)88], on 7 July 2006 [C(2006)92], on 29 November 2006 [C(2006)149], on 7 February 2007 [C(2007)2], on 7 June 2007 [C(2007)89], on 29 November 2007 [C(2007)127], on 23 July 2008 [C(2008)120], on 16 October 2008 [C(2008)128], on 15 October 2009 [TAD/CA(2009)4] $^{\ddagger}$ , on 16 June 2010 [TAD/CA(2010)7] $^{\ddagger}$ , on 7 July 2011 [TAD/CA(2011)2] $^{\ddagger}$ , on 23 April 2012 [TAD/CA(2012)11] $^{\ddagger}$ , on 30 October 2013 [TAD/CA(2013)12] $^{\ddagger}$ , on 15 May 2014 [TAD/CA(2014)8] $^{\ddagger}$ , on 31 July 2015 [C(2015)103], on 22 January 2016 [TAD/CA(2015)18] $^{\ddagger}$ , on 18 March 2016 [C(2016)25], on 20 January 2017 [TAD/CA(2016)28] $^{\ddagger}$ , on 19 January 2018 [TAD/CA(2017)20] $^{\ddagger}$ , on 16 January 2019 [TAD/CA(2018)13] $^{\ddagger}$ , on 29 January 2021 [TAD/CA(2020)8 $^{\dagger}$  on 31 January 2022 [TAD/CA(2022)1] $^{\dagger}$ , on 27 January 2023 [TAD/CA(2023)1] and on 31 March 2023 [C(2023)29].

On the proposal of the Committee for Agriculture;

#### I. DECIDES:

1. The OECD Standard Codes for the Official Testing of Agricultural and Forestry Tractors (hereinafter called the "OECD Tractor Codes") shall be operated in accordance with the provisions of this Decision, and on the basis of the Rules and Directions set out in the Codes 2 to 10) thereto:

**Code 2**: OECD Standard Code for the Official Testing of Agricultural and Forestry Tractor Performance;

**Code 3:** OECD Standard Code for the Official Testing of Protective Structures on Agricultural and Forestry Tractors (Dynamic Test);

**Code 4:** OECD Standard Code for the Official Testing of Protective Structures on Agricultural and Forestry Tractors (Static Test);

**Code 5:** OECD Standard Code for the Official Measurement of Noise at the Driving Position(s) of Agricultural and Forestry Tractors;

**Code 6:** OECD Standard Code for the Official Testing of Front-mounted Protective Structures on Narrow-track Agricultural and Forestry Tractors;

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<sup>‡</sup> Proposal approved by the Committee for Agriculture on behalf of the OECD Council.

- **Code 7:** OECD Standard Code for the Official Testing of Rear-mounted Protective Structures on Narrow-track Agricultural and Forestry Tractors;
- **Code 8:** OECD Standard Code for the Official Testing of Protective Structures on Agricultural and Forestry Tracklaying Tractors.
- **Code 9:** OECD Standard Code for the Official Testing of Protective Structures for Telehandlers (Testing of Falling-Object and Roll-Over Protective Structures fitted to self- propelled variable reach all-terrain trucks for agricultural use);
- **Code 10:** OECD Standard Code for the Official Testing of Falling Object Protective Structures on Agricultural and Forestry Tractors;
- 2. The OECD Tractor Codes shall be:
  - a) open to all Member Countries of the Organisation as well as to other States being Member countries of the United Nations Organization or its Specialised Agencies desiring to participate therein in accordance with the Procedure set out in Appendix 2 to the Decision;
  - b) implemented by the Authorities designated for that purpose by, the governments of the States participating in the Codes (hereinafter "Participating Country"). These Authorities are responsible to their government of the operation of the Codes.
- 3. If a Member country does not wish to apply one or more Codes or does not recognise them for imported tractors, it shall notify the Secretary-General of its decision, who will inform the other participating countries.
- 4. The official authorities referred to in paragraph 2(b) above are responsible for using the Codes and, as the case may be, carrying out the tests and issuing the test reports giving their results. The National Designated Authority shall certify that the OECD Codes were followed in each test and that the corresponding test report complies with their requirements. The test report shall be verified by the OECD Secretariat prior to its release.
- 5. A participating State desiring to lodge a complaint concerning non-execution of the aforementioned obligation may lay the matter before the OECD. The complaint shall be examined by the Committee for Agriculture which shall report to the Council.
- 6. Expenditures required for the functioning of the OECD Tractor Codes shall be defrayed from appropriations under Part II of the Budget of the Organisation. Each country participating in the Codes agrees to the payment to the OECD of an annual contribution which is the sum of the following two elements:
  - a basic fee of € 4 000 (Euros);
  - an additional fee applied to each participating country (OECD Members and non-members) calculated according to the criteria set out in the Resolution of the Council C(63)155(Final) as amended,

as they may be modified from time to time.

The Secretariat should report any defaulting of payment to the Advisory Group for the Codes which shall take all appropriate measures, including the review of the status of the participating country.

A participating country shall fall into arrears on 1 January of the year following the year of the call for the payment of the annual contribution (basic fee and additional fee) if this contribution remain fully or

partly unpaid at that date. In this first year of arrears no documentation shall be sent to the country. In the second year of arrears, test reports sent by the country shall no longer be eligible for approval. In the third year of arrears, the defaulting country shall be notified of a proposal to exclude it from Participation in the Tractor Codes. The decision of exclusion shall be adopted by the Council, on the proposal of the Annual Meeting of the National Designated Authorities and of the Committee for Agriculture, unless the Council decides by consensus not to adopt the decision. The decision of exclusion shall be notified to the country.

Settlement by the country in arrears of the debt in the first or the second year shall reverse all the measures previously taken. Settlement of the debt in the third year and reversal of all the measures previously taken shall be subject to a decision by the Annual Meeting of the National Designated Authorities based on the results of an evaluation mission at the expense of the country in arrears, in accordance with the conditions for the admission procedure for a new country provided for in Appendix II to the Decision. Participants and observers to the OECD Tractor Codes shall be notified of all developments associated with the application of this procedure.

The present procedure shall apply to arrears of the contributions due for 2006 and the successive years. Arrears of contributions due for one or more years before 2006 shall be subject to a separate settlement with the Organization.

Before engaging any sanction action, the Secretariat shall inform the National Designated Authorities of arrear problems.

- 7. The following international organisations may be represented by an observer at the meetings of Representatives of National Authorities:
  - the European Free Trade Association (EFTA)
  - the European Committee for Standardization (CEN);
  - the European Committee of Manufacturers of Agricultural Machinery (CEMA)
  - the Economic Commission for Europe of the United Nations (UN/ECE)
  - the International Commission of Agricultural Engineering (CIGR)
  - the European Confederation of Agriculture (CEA)
  - the Food and Agriculture Organization of the United Nations (FAO)
  - the International Organization for Standardization (ISO).
- 8. Other international organisations involved in agricultural mechanisation may be represented by an observer at the meetings of Representatives of National Authorities, in accordance with the rules of the Organisation.
- II. INSTRUCTS the Committee for Agriculture to report to the Council, when it considers it appropriate, on the operation of the OECD Tractor Codes, and to submit to the Council, where

necessary, proposals for modifying those Codes.

- III. AUTHORISES the Committee for Agriculture to decide on any technical amendments to Code 2 Code 10 of the OECD Standard Codes for the Official Testing of Agricultural and Forestry Tractors. These amendments shall then be transmitted to the Council for information.
- IV. The present decision replaces the Decision of the Council  $\underline{C(2000)59/FINAL}$  and its amendments which are hereby repealed.
- V. Appendices I, II, III, IV and V to the Decision and the Codes are as follows:

#### APPENDIX 1 TO THE DECISION

#### INTRODUCTION TO THE CODES

- 1. There is a considerable amount of international trade of agricultural tractors. The purpose of these international Codes is to facilitate trade by enabling either an exporting or an importing country to accept with confidence the results of tests carried out in another country.
- 2. The Standard Code for the Official Testing of Agricultural Tractors was established by the Decision of the Council of the Organisation for European Economic Co-operation (OEEC) of 21 April 1959 [C(59)76(Final)].
- 3. This Code remained in force pursuant to the Decision of the Council of the Organisation for Economic Co-operation and Development (OECD) of 30 September 1961 [OECD/C(61)5], and it was made public pursuant to a Resolution of the Council of 3 May 1962.
- 4. A revised text was established by the Decision of the Council on 25 January 1966 [C(65)137(Final)] and amended by the addition of an optional test for protective structures by the Decision of the Council of 24 January 1967 [C(66)135(Final)]. Further minor amendments were made to these two Decisions, which were repealed and replaced by the Decision of the Council of 11 February 1970 [C(70)9(Final)].
- 5. This Decision was also repealed and replaced by the Decision of the Council of 9 October 1973 [C(73)199], amended notably by the addition of a static test for protective structures, on 29 July 1983 [C(83)83(Final)], and a restricted performance code, on 28 December 1984 [C(84)163(Final)].

- 6. The 1973 Decision was again repealed and replaced by the Decision of the Council of 24 November 1987 [C(87)53(Final)] which introduced a Code for measuring the noise level inside the protective structure.
- 7. The Decision of 18 July 1990 [ $\underline{C(90)79}$ (Final)] introduced two Codes for the testing of structures mounted on narrow-track wheeled tractors. The Decision of 27 November 1992 [ $\underline{C(92)173/FINAL}$ ] introduced a Code for testing of structures mounted on tracklaying tractors.
- 8. The 1987 Decision was repealed and replaced by the Decision of the Council of 19 April 2000 [C(2000)59/FINAL].
- 9. Since then, it has been amended by the following Decisions:
  - C(2001)5/FINAL dated 13 February 2001
  - C(2001)267/FINAL dated 17 December 2001
  - C(2002)17/FINAL dated 25 February 2002
  - C(2003)14/FINAL dated 26 February 2003
  - C(2003)252/FINAL dated 22 January 2004
  - C(2005)1 dated 29 March 2005
  - <u>C(2006)88</u> dated 22 September 2006
  - C(2006)92 dated 7 July 2007
  - <u>C(2006)149</u> dated 29 November 2006
  - C(2007)2 dated 7 February 2007
  - <u>C(2007)89</u> dated 7 June 2007
  - <u>C(2007)127</u> dated 29 November 2007
  - C(2008)120 dated 23 July 2008
  - <u>C(2008)128</u> dated 16 October 2008
  - TAD/CA(2009)4 dated 15 September 2009
  - <u>TAD/CA(2010)7</u> dated 16 June 2010
  - <u>TAD/CA(2011)2</u> dated 7 July 2011
  - TAD/CA(2012)11 dated 23 April 2012
  - <u>TAD/CA(2013)12</u> dated 01 October 2013
  - <u>TAD/CA(2014)8</u> dated 16 April 2014
  - TAD/CA(2015)18 dated 18 December 2015
  - C(2015)103 dated 31 July 2015
  - <u>C(2016)25</u> dated 8 February 2016
  - <u>TAD/CA(2016)28</u> dated 16 December 2016
  - TAD/CA(2017)20 dated 21 December 2017
  - <u>TAD/CA(2018)13</u> dated 13 December 2018
  - <u>C(2018)120</u> dated 21 December 2018
  - C(2018)120/CORR1 dated 11 January 2019
  - TAD/CA(2019)8 dated 18 December 2019
  - <u>TAD/CA(2020)8</u> dated 15 January 2021
  - <u>TAD/CA(2022)1</u> dated 14 January 2022
  - TAD/CA(2023)1 dated 6 January 2023
  - C(2023)29 dated 24 March 2023.
- 10. The present Codes repeal all previous Decisions and come into force with the present Decision of the Council.

- 11. Tests carried out under the OECD Tractor Codes are given official approval provided that the OECD Secretariat is satisfied that the test was carried out in accordance with the procedures laid down in the Code applied. In the case of tests on protective structures, in addition, there are pass/fail criteria which stipulate certain minimum performance levels for the structure tested. Other performance tests carried out under internationally recognised procedures may be reported if the procedures are available in published form and in an official language to the OECD Secretariat such that results will be clearly marked as not submitted for OECD approval.
- 12. In order that full benefits, in terms of simplification of the work and reduction in costs, may be derived from these internationally accepted Codes, it is desirable that tests under the Codes be carried out in the tractor's country of origin. This may not always be possible or convenient, for instance, if the producing country does not participate in the OECD Codes. In these cases, the importing country may wish to carry out the tests. For tests under Code 2, verification is made with the OECD to ensure that no other country has carried out the relevant tractor tests, hence avoiding unnecessary duplication of effort and cost.
- 13. Stations can make whatever tests they wish, but only one OECD approval number will be issued for a given tractor or for a tractor-protective structure combination, unless modification to the tested models make it necessary to retest them within the limits specified in each Code, at that time a new OECD test report is then issued.
- 14. Protective structures may be tested according to the dynamic method, the static method or both. Modifications will be handled in the same way as for tractors.
- 15. Approval can be extended for modified tractors or tractor variants within the limitations of each Code. The extension may only be requested by the testing station where the original test has been carried out. Tractors which need approval by extension may give rise to the publication of a test report or an extension report, provided that reference to the originating tractor be visible in the test or extension report and that the modifications of the specifications and results, when relevant, be clearly identified. In this case, the reports will receive the same approval number, complemented by an appropriate numerical designation.
- 16. The relevance of certain evaluation criteria not included in the OECD Tractor Codes, such as performance on agricultural soil, cannot be questioned. However, these criteria are not quantifiable enough to permit comparable tests between countries. As frequent as such tests may be in some stations they shall form no part of the OECD test reports.

#### **APPENDIX 2 TO THE DECISION**

## PROCEDURE FOR THE EXTENSION OF THE CODES TO NON-MEMBERS OF THE OECD

1. Countries which are not Members of the OECD but which are Members of the United Nations Organization or its Specialised Agencies may submit a written application to the Secretary-General of the OECD if they wish to join the Codes.

If the country intends to carry out tractor testing according to the Codes, the application shall provide detailed information that shall include in particular:

- a) A detailed description of any systems of tractor testing already in existence and the legal basis upon which they rest;
- b) A list of tractors that have been tested in the course of the previous 5 years together with, if possible, copies of any test reports that may have been issued;
- c) Details of national production and trade of agricultural tractors, if any;
- d) Indications as to the availability of qualified testing staff;
- e) A detailed list of testing equipment available, together with technical specifications of such equipment. This list should show the equipment available to complete each compulsory test under at least one of the OECD Tractor Codes and also the equipment that is available to complete any optional tests which may, at any time, be carried out. The applicant country must undertake to update this list at regular intervals by providing information concerning newly acquired testing equipment. The presentation outlined in the Quality Manual amended to the Codes shall be followed. The list will be provided in English or French, both being the official languages of the Organisation.

If the applicant country does not intend to carry out OECD tractor testing, a copy of the national regulations concerning domestic requirements applicable to tractors in use shall be sent to the OECD. The required information may be provided in the language of the applicant country. If this is a language other than English or French, a concise version in English or French will be attached.

2. The application and documentation will be acknowledged by the OECD Secretariat which will visit the applicant country with a representative of a national Designated

Authority and possibly the national Institute under contract with OECD acting as Co-ordinating Centre for the tests (hereafter called the "Co-ordinating Centre").

The purpose of the visit will be:

- a) to obtain information about legislative regulations concerning tractors including type approval, use and international trade;
- b) to explain the technical and administrative implications of the rules of the Codes as well as their organisation and co-ordination on an international level;
- c) to ascertain that adequate technical and administrative facilities are available for the operation of the Codes if the country intends to carry out tests.

The financing of the mission will be the responsibility of the applicant country.

- 3. Upon admission to the Codes of a country intending to start testing, and in case it did not participate in the mission provided in paragraph 2 above, the Co-ordinating Centre may be invited to visit the testing station(s) in order to assist in setting up the testing site and to report drafting procedures in accordance with the Codes.
- 4. The applicant country is authorised, before admission to the Codes, to attend the Annual Meetings of Representatives of the National Designated Authorities as an observer. The applicant country agrees that, upon admission, its representatives will attend the annual meeting. The applicant country agrees that its representatives attending the Annual Meetings will be persons directly responsible for the implementation of the Codes.
- 5. The applicant country shall agree to accept the necessary minimum supervision by the OECD, which is essential if the Codes are to maintain their standards. If, in the course of implementation of the Codes by the applicant country, it is considered necessary, the Organisation may be required to send a person or persons responsible for Codes application in that country to a selected OECD country for a period of further instruction and/or to accept further periodical visits from engineers selected by the OECD for that purpose. The timing, duration and financing of such missions will be decided by the OECD in consultation with the Authorities of the applicant country.
- 6. Provided the OECD is satisfied with the results of the review specified in paragraph 2, the Committee for Agriculture of the OECD will be asked to recommend that the Council should admit the applicant country to the Codes.
- 7. Following the approval by the Council, the Secretary-General of the OECD will notify the applicant country that the application has been approved. The National Designated Authorities in all countries participating in the Codes will also be informed of the acceptance of the country concerned.

#### **APPENDIX 2.A TO THE DECISION**

## PROCEDURE FOR THE ADHERENCE OF NON-MEMBERS NOT MANUFACTURING OR TESTING TRACTORS

- 1. Non-OECD Members that do not manufacture or test tractors will not be required to undergo an evaluation mission to be able to adhere to the Codes. Instead, the same self-evaluation procedure as is applied to OECD Members seeking to join to the Codes, described in Paragraphs 1 to 6 of appendix 2.B, will be applied to applicant non-OECD Members not manufacturing or testing tractors.
- 2. If satisfied with the self-evaluation report, the Annual Meeting shall recommend the adherence of the non-OECD Member to the Committee for Agriculture, which will be asked to recommend that the Council admit the applicant country to the Codes.
- 3. Following the approval by the Council, the Secretary-General of the OECD will notify the applicant country that the application has been approved. The National Designated Authorities in all countries participating in the Codes will also be informed of the acceptance of the country concerned.
- 4. Upon adhering to the Codes, non-manufacturing or non-testing non-OECD Member Participating Countries should designate an authority to participate in the Annual Meeting of the Tractor Codes (with the same voting rights and obligations as other Participating Countries), but that authority will not be authorised to carry out official testing of tractors. In addition, they will not be able to able to certify compliance with the Tractor Codes and Article 4 and Appendix 4 of the Decision would not apply to them.
- 5. If a non-manufacturing or non-testing Participating Country wishes to begin manufacturing and testing tractors, an evaluation mission would be required in order to establish that its testing station meets the standards of the Codes and may certify tractors.

#### APPENDIX 2.B TO THE DECISION

#### PROCEDURE FOR THE ADHERENCE OF OECD MEMBERS TO THE DECISION

- 1. An OECD Member wishing to adhere to the Decision and participate in the Codes shall notify the Secretary-General of its intention.
- 2. The Member shall provide the Secretariat with a self-evaluation report detailing its conformity with the Codes.
- 3. The Member will present its self-evaluation report to the Annual Meeting for examination.
- 4. If the Annual Meeting is not ready to approve the adherence at its first examination, it may request additional information.
- 5. If not satisfied by the additional information provided by the Member, the Annual Meeting may organise an evaluation mission to the candidate.
- 6. If satisfied, the Annual Meeting recommends the adherence to the Committee for Agriculture.
- 7. The recommendation of the Annual Meeting is transmitted to the Committee for Agriculture for endorsement. The adherence enters into force as of the date of the endorsement by the Committee for Agriculture.
- 8. The Secretary-General will notify the Member of the approval of its adherence

#### APPENDIX 3 TO THE DECISION

#### METHOD OF OPERATION

- 1. The names and addresses of the national Authorities designated for operating the Codes and any changes in their designation will be circulated by the OECD to all countries participating in the Codes and to all observers.
- 2. The operation and development of the Codes shall be reviewed at the Annual Meeting of representatives of the Designated Authorities where Participating Member and non member Countries hold office strictly in alphabetic order. This Annual Meeting shall report on its work and make such proposals as deemed necessary to the Council of the OECD, subject to prior endorsement by the Committee for Agriculture.
- 3. The Officers of the Annual Meeting will be a Chairman, two Vice-Chairmen, who will be nominated at the end of the previous session. They shall take up their duties upon official approval of the Summary Record from the previous Annual Meeting.
- 4. In order to ensure continuity and efficient co-operation with the Secretariat, except as otherwise provided in the Rules of Procedure of the Organisation, it is desirable that a Chairman-Designate be appointed to fill one of the offices of Vice-Chairman together with the Past-Chairman. Their terms should not exceed two years. The chairmanship should reflect the participation of various regions of the world and alternate between representatives of European Union Member States and other countries.
- 5. The chairmanship shall be carried out in one of the two official languages of the Organisation, even if interpretation in a third language is available at the meetings.
- 6. The co-ordination of Codes implementation on an international level shall be ensured by the OECD. However, the verification of individual test reports submitted by the participating Members as well as the related tasks may be delegated, by contract, to a national institute of a Member Country which will act as Co-ordinating Centre for the OECD Tests. The costs incurred shall be recovered under the annual contract between the OECD Secretariat and this Institute.

After verification of an individual test report, the Co-ordinating Centre for OECD tests either approves the test report or it provides recommendations on necessary corrections, if the test report does not reach the OECD standards for approval. Upon receipt of these comments and recommendations, the corresponding test station shall send a corrected version of its test report as soon as possible. In the absence of reply or communication in response to these comments and recommendations, after a period of 6 months, the test report shall be left aside and the approval request shall be considered void.

7. An Advisory Group is made up of the Officers of the Annual Meeting, and the Coordinating Centre. The Advisory Group shall assist in the preparation of the next Annual Meeting and, where necessary, propose solutions to the Secretariat to deal with urgent problems which may arise out of Codes implementation. The Advisory Group is convened

by the Secretariat at the request of any one of its Members or any country participating in the Codes to provide counsel in writing and invite one or more participating countries to be represented.

- 8. The Advisory Group will deal with any questions raised by a National Designated Authority regarding denied approval of a test report. The Advisory Group will take the following steps;
- 8.1 On the basis of the evidence submitted by the National Designated Authority concerned and the Co-ordinating Centre, the Advisory Group will make an initial decision within a week on whether the issue raised merits further examination and respond to the party or parties raising it. Any member of the Advisory Group who is directly involved or connected with the matter will be exempt from taking part in the initial decision. The Advisory Group may seek assistance from one or two experts;
- 8.2 Where the issue raised merits further examination, the Advisory Group will offer good offices to help to resolve the issue. For this purpose, the Advisory Group will consult with these parties and, where appropriate, seek advice from relevant authorities, and/or manufacturers, other non-governmental organisations, and legal or technical experts as it sees fit;
- 8.3 If the parties involved do not reach an agreement on the issues raised within two weeks, the Advisory Group will issue a written statement, make recommendations as appropriate on the interpretation of the Codes and their implementation, and refer the matter to the next Annual Meeting;
- 8.4 While the procedures under paragraph 2 are underway, confidentiality of the proceedings will be maintained;
- 8.5.If issues arise in non-adhering countries, the Advisory Group will take steps to develop an understanding of the issues involved and follow these procedures where relevant and practicable.
- 9. When a test report is published, it is understood that all specifications of the tractor or protective structure have been inspected as well as possible and that all tests have been made in strict compliance with the Codes.

#### APPENDIX 4 TO THE DECISION

#### **QUALITY CONTROL REQUIREMENTS**

- 1. All OECD Testing Authorities shall carry accreditation giving formal recognition that they are competent to carry out tests in accordance with the Codes.
- 2. Accreditation may be authorised by the National Designated Authority to standards set out in the OECD Principles of Good Laboratory Practice as suitably adapted to the Codes or, at the discretion of OECD, to equivalent national or international standards recognised in the country concerned. The ISO 9000 series, ISO 10012-1:1992 in matters of measuring equipment, ISO/IEC 17025:1999 and their derived national or EC versions are examples of such standards.
- 3. Each Designated Authority shall be responsible for operating a system of quality control in accordance with the requirements of accreditation concerned with all aspects of policy, management, personnel, training, testing sites, testing equipment, measurement and reporting.
- 4. The type of information required for each National Designated Authority to operate a system of quality management is set out in the "OECD Guidelines for a Quality Management System" issued by the OECD Secretariat. Under these guidelines, the National Designated Authority ensures that its test body maintains a "Quality Manual" which documents this information. The "Quality Manual" of each testing Authority shall be retained by the OECD Secretariat. It will be the responsibility of each Authority to notify the Secretariat when changes should result in a revision of the Manual.
- 5. The National Designated Authorities have the right to request that denied approval of test report be reviewed. Such reviews shall be dealt with initially by the Advisory Group (see Appendix 3).

#### **APPENDIX 5 TO THE DECISION**

#### **RULES AND DIRECTIONS**

Code 2:	Testing of tractor performance
Code 3	Dynamic testing of roll-over protective structure
Code 4	Static testing of roll-over protective structure
Code 5	Measurement of noise at the driving position
Code 6	Testing of front-mounted protective structures on narrow-track tractors
Code 7	Testing of rear-mounted protective structures on narrow-track tractors
Code 8	Testing of protective structures on tracklaying tractors
Code 9	Testing of protective structures for telehandlers
Code 10	Testing of falling object protective structures on tractors

## NEW AMENDMENTS IN THE 2024 EDITION OF THE OECD TRACTOR CODES

#### Background

The 2024 edition of the Tractor Codes, released on 1 February 2024, incorporates several amendments as approved by the 2023 Annual Meeting.

Amendments:

#### **General texts**

Increase of the Basic fee to 4,000 EUR

Code 2

No change

Code 3

No change

Code 4

No change

Code 5

No change

Code 6

No change

Code 7

No change

Code 8

No Change

Code 9

No change

**Code 10** 

No change